## RF FUNDAMENTALS MICROPHONICS

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# Equivalent Circuit for an rf Cavity

Simple LC circuit representing an accelerating resonator

Metamorphosis of the LC circuit into an accelerating cavity

Chain of weakly coupled pillbox cavities representing an accelerating cavity

Chain of coupled pendula as its mechanical analogue



Simple lumped L-C circuit repesenting an accelerating



Metamorphosis of the L-C circuit of Fig. 1 into an accelerating cavity (after R.P.Feynman<sup>33</sup>). Fig. 5d shows the cylindrical "pillbox cavity" and Fig. 5e a slightly modified pillbox cavity with beam holes (typical  $\beta$  between 0.5 and 1.0). Fig. Sc resembles a low  $\beta$  version of the pillbox variety (0.2<8<0.5).





Chain of weakly-coupled pillbox cavities representing an accelerating module

Chain of coupled pendula as a mechanical analogue to Fig. 6a





#### Parallel Circuit Model of an Electromagnetic Mode

 $P_{diss} = \frac{1}{2} \frac{V_c^2}{R}$ 

 $\Rightarrow R_{sh} = 2R$ 

- Power dissipated in resistor R:
- Shunt impedance:  $R_{sh} \equiv \frac{V_c^2}{P_{rm}}$
- Quality factor of resonator:

$$Q_{0} \equiv \frac{\omega_{0}U}{P_{diss}} = \omega_{0}CR = \frac{R}{L\omega_{c}} = R\left(\frac{C}{L}\right)^{1/2}$$
$$\tilde{Z} = R\left[1 + iQ_{0}\left(\frac{\omega}{\omega_{0}} - \frac{\omega_{0}}{\omega}\right)\right]^{-1}$$
$$\omega \approx \omega_{0} , \qquad \tilde{Z} \approx R\left[1 + 2iQ_{0}\left(\frac{\omega - \omega_{0}}{\omega_{0}}\right)\right]^{-1}$$



LEZRICV











Energy content 
$$U = \frac{1}{2} CV^2 = \frac{1}{2} \frac{Q_0}{\omega R} V^2$$
  
 $= \frac{1}{2} \frac{Q_0}{\omega R} k^2 V_g^2 \frac{R^2}{\left(R + k^2 Z_0\right)^2 + 4k^4 Z_0^2 Q_0^2 \left(\frac{\Delta \omega}{\omega_0}\right)^2}$   
Incident power:  $P_{inc} = \frac{V_g^2}{8Z_0}$   
Define coupling coefficient:  $\beta = \frac{R}{k_0^2 Z_0}$   
 $\frac{U}{P_{inc}} = \frac{Q_0}{\omega_0} \frac{4\beta}{\left(1 + \beta\right)^2} \frac{1}{1 + \left(\frac{2Q_0}{1 + \beta}\right)^2 \left(\frac{\Delta \omega}{\omega_0}\right)^2}$ 





Power dissipated
$$P_{diss} = \frac{\omega U}{Q_0} = P_{inc} \frac{4\beta}{(1+\beta)^2} \frac{1}{1+\left(\frac{2Q_0}{1+\beta}\right)^2 \left(\frac{\Delta\omega}{\omega_0}\right)^2}$$
Optimal coupling: $\frac{U}{P_{inc}}$  $\Rightarrow \Delta \omega = 0,$  $\beta = 1$ : critical coupling

Reflected power

$$P_{ref} = P_{inc} - P_{diss} = P_{mc} \left| 1 - \frac{4\beta}{\left(1 + \beta\right)^2} \frac{1}{1 + \left(\frac{2Q_0}{1 + \beta} \frac{\Delta\omega}{\omega_0}\right)^2} \right|$$





#### At resonance



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## **Equivalent Circuit for a Cavity with Beam**

- Beam in the rf cavity is represented by a current generator.
- Equivalent circuit:



$$\tan \psi = -2\frac{Q_0}{1+\beta}\frac{\Delta\omega}{\omega_0}$$





## **Equivalent Circuit for a Cavity with Beam**









## **Equivalent Circuit for a Cavity with Beam**

$$P_{g} = \frac{V_{c}^{2}}{R_{sh}} \frac{1}{4\beta} \left\{ \left(1 + \beta + b\right)^{2} + \left[(1 + \beta) \tan \psi - b \tan \phi\right]^{2} \right\}$$

$$b = \frac{\text{Power absorbed by the beam}}{\text{Power dissipated in the cavity}} = \frac{R_{sh}i_0\cos\phi}{V_c}$$

$$(1 + \beta_{opt}) \tan \psi_{opt} = b \tan \phi$$

$$\beta_{opt} = |1 + b|$$

$$P_g^{opt} = \frac{V_c^2}{R_{sh}} \frac{|1 + b| + (1 + b)}{2}$$





## **Frequency Control**

Energy gain

$$W = qV\cos\phi$$

Energy gain error

$$\frac{\delta W}{W} = \frac{\delta V}{V} - \delta \phi \tan \phi$$

The fluctuations in cavity field amplitude and phase come mostly from the fluctuations in cavity frequency

Need for fast frequency control

Minimization of rf power requires matching of average cavity frequency to reference frequency

**Need for slow frequency tuners** 





## **Some Definitions**

- Ponderomotive effects: changes in frequency caused by the electromagnetic field (radiation pressure)
  - Static Lorentz detuning (cw operation)
  - Dynamic Lorentz detuning (pulsed operation)
- Microphonics: changes in frequency caused by connections to the external world
  - Vibrations
  - Pressure fluctuations
- Note: The two are not completely independent. When phase and amplitude feedbacks are active, ponderomotive effects can change the response to external disturbances





# **Cavity with Beam and Microphonics**

The detuning is now

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$$\tan \psi = -2Q_L \frac{\delta \omega_0 \pm \delta \omega_m}{\omega_0} \qquad \qquad \tan \psi_0 = -2Q_L \frac{\delta \omega_0}{\omega_0}$$

where  $\delta \omega_0$  is the static detuning (controllable)

and  $\delta \omega_m$  is the random dynamic detuning (uncontrollable)





## **Q**<sub>ext</sub> Optimization with Microphonics

Condition for optimum coupling:

g.  

$$\beta_{opt} = \sqrt{(b+1)^2 + \left(2Q_0\frac{\delta\omega_m}{\omega_0}\right)^2}$$

$$P_g^{opt} = \frac{V_c^2}{2R_{sh}} \left[(b+1) + \sqrt{(b+1)^2 + \left(2Q_0\frac{\delta\omega_m}{\omega_0}\right)^2}\right]$$

and

In the absence of beam (b=0):

and

$$\beta_{opt} = \sqrt{1 + \left(2Q_0 \frac{\delta \omega_m}{\omega_0}\right)^2}$$

$$P_g^{opt} = \frac{V_c^2}{2R_{sh}} \left[1 + \sqrt{1 + \left(2Q_0 \frac{\delta \omega_m}{\omega_0}\right)^2}\right]$$

$$\approx U \ \delta \omega_m \quad \text{If} \quad \delta \omega_m \text{ is very large}$$



## Example







## Example







## **Lorentz Detuning**

Pressure deforms the cavity wall:

RF power produces radiation pressure:

 $P = \frac{\mu_0 H^2 - \varepsilon_0 E^2}{4}$ Outward pressure at the equator
Inward pressure at the iris
Deformation produces a frequency shift:

 $\Delta f = -k_L E_{acc}^2$ 



## **Lorentz Detuning**







## **Microphonics**

#### Total detuning

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 $\delta\omega_0 + \delta\omega_m$ 

where  $\delta \omega_0$  is the static detuning (controllable)

and  $\delta \omega_m$  is the random dynamic detuning (uncontrollable)





 Adiabatic theorem applied to harmonic oscillators (Boltzmann-Ehrenfest)

If 
$$\varepsilon = \frac{1}{\omega^2} \frac{d\omega}{dt} \ll 1$$
, then  $\frac{U}{\omega}$  is an adiabatic invariant to all orders  
$$\Delta \left(\frac{U}{\omega}\right) / \left(\frac{U}{\omega}\right) \sim o(e^{-d/\varepsilon}) \implies \boxed{\frac{\Delta\omega}{\omega} = \frac{\Delta U}{U}}$$
(Slater)

Quantum mechanical picture: the number of photons is constant:  $U = N\hbar\omega$ 

$$U = \int_{V} dV \left[ \frac{\mu_{0}}{4} H^{2}(\vec{r}) + \frac{\varepsilon_{0}}{4} E^{2}(\vec{r}) \right] \text{ (energy content)}$$
  
$$\Delta U = -\int_{S} dS \,\vec{n}(\vec{r}) \cdot \vec{\xi}(\vec{r}) \left[ \frac{\mu_{0}}{4} H^{2}(\vec{r}) - \frac{\varepsilon_{0}}{4} E^{2}(\vec{r}) \right] \text{ (work done by radiation pressure)}$$





$$\frac{\Delta\omega}{\omega} = -\frac{\int_{S} dS \,\vec{n}(\vec{r}) \cdot \vec{\xi}(\vec{r}) \left[\frac{\mu_{0}}{4}H^{2}(\vec{r}) - \frac{\varepsilon_{0}}{4}E^{2}(\vec{r})\right]}{\int_{V} dV \left[\frac{\mu_{0}}{4}H^{2}(\vec{r}) + \frac{\varepsilon_{0}}{4}E^{2}(\vec{r})\right]}$$

Expand wall displacements and forces in normal modes of vibration  $\phi_{\mu}(\vec{r})$  of the resonator

$$\int_{S} dS \ \phi_{\mu}(\vec{r}) \ \phi_{\nu}(\vec{r}) = \delta_{\mu\nu}$$

$$\xi(\vec{r}) = \sum_{\mu} q_{\mu} \phi_{\mu}(\vec{r}) \qquad q_{\mu} = \int_{S} \xi(\vec{r}) \ \phi_{\mu}(\vec{r}) \ dS$$

$$F(\vec{r}) = \sum_{\mu} F_{\mu} \phi_{\mu}(\vec{r}) \qquad F_{\mu} = \int_{S} F(\vec{r}) \ \phi_{\mu}(\vec{r}) \ dS$$





Equation of motion of mechanical mode  $\mu$ 

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$$\frac{d}{dt}\frac{\partial L}{\partial \dot{q}_{\mu}} - \frac{\partial L}{\partial q_{\mu}} + \frac{\partial \Phi}{\partial \dot{q}_{\mu}} = F_{\mu} \qquad L = T - U \qquad \text{(Euler-Lagrange)}$$

$$U = \frac{1}{2}\sum_{\mu} c_{\mu} q_{\mu}^{2} \quad \text{(elastic potential energy)} \qquad c_{\mu} \text{: elastic constant}$$

$$T = \frac{1}{2}\sum_{\mu} c_{\mu} \frac{\dot{q}_{\mu}^{2}}{\Omega_{\mu}^{2}} \quad \text{(kinetic energy)} \qquad \Omega_{\mu} \text{: frequency}$$

$$\Phi = \sum_{\mu} \frac{c_{\mu}}{\tau_{\mu}} \frac{\dot{q}_{\mu}^{2}}{\Omega_{\mu}^{2}} \quad \text{(power loss)} \qquad \tau_{\mu} \text{: decay time}$$

$$\boxed{\ddot{q}_{\mu} + \frac{2}{\tau_{\mu}} \dot{q}_{\mu} + \Omega_{\mu}^{2} q_{\mu}} = \frac{\Omega_{\mu}^{2}}{c_{\mu}} F_{\mu}}$$



The frequency shift  $\Delta \omega_{\mu}$  caused by the mechanical mode  $\mu$  is proportional to  $q_{\mu}$ 

$$\Delta \ddot{\omega}_{\mu} + \frac{2}{\tau_{\mu}} \Delta \dot{\omega}_{\mu} + \Omega_{\mu}^2 \Delta \omega_{\mu} = -\frac{\omega_0}{c_{\mu}} \left(\frac{F_{\mu}}{U}\right)^2 \Omega_{\mu}^2 U = -k_{\mu} \Omega_{\mu}^2 V^2$$

Total frequency shift:
$$\Delta \omega(t) = \sum_{\mu} \Delta \omega_{\mu}(t)$$
Static frequency shift: $\Delta \omega_0 = \sum_{\mu} \Delta \omega_{\mu 0} = -V^2 \sum_{\mu} k_{\mu}$ Static Lorentz coefficient: $k = \sum_{\mu} k_{\mu}$ 





#### **Ponderomotive Effects – Mechanical Modes**

$$\Delta \ddot{\omega}_{\mu} + \frac{2}{\tau_{\mu}} \Delta \dot{\omega}_{\mu} + \Omega_{\mu}^{2} \Delta \omega_{\mu} = -\Omega_{\mu}^{2} k_{\mu} V_{0}^{2} + n(t)$$

Fluctuations around steady state:

$$\Delta \omega_{\mu} = \Delta \omega_{\mu o} + \delta \omega_{\mu}$$
$$V = V_{0} (1 + \delta v)$$

Linearized equation of motion for mechanical mode:

$$\delta \ddot{\omega}_{\mu} + \frac{2}{\tau_{\mu}} \delta \dot{\omega}_{\mu} + \Omega_{\mu}^2 \delta \omega_{\mu} = -2\Omega_{\mu}^2 k_{\mu} V_0^2 \delta v$$

The mechanical mode is driven by fluctuations in the electromagnetic mode amplitude.

Variations in the mechanical mode amplitude causes a variation of the electromagnetic mode frequency, which can cause a variation of its amplitude.

 $\rightarrow$  Closed feedback system between electromagnetic and mechanical modes, that can lead to instabilities.





#### **Lorentz Transfer Function**





**0**MINION

## **Lorentz Transfer Function**

#### TM-class cavities (Jlab, 6-cell elliptical, 805 MHz, β=0.61) Rich frequency spectrum from low to high frequencies Large variations between cavities







## **GDR and SEL**







#### **Generator-Driven Resonator**

- In a generator-driven resonator the coupling between the electromagnetic and mechanical modes can lead to two ponderomotive instabilities
- Monotonic instability : Jump phenomenon where the amplitudes of the electromagnetic and mechanical modes increase or decrease exponentially until limited by non-linear effects
- Oscillatory instability : The amplitudes of both modes oscillate and increase at an exponential rate until limited by non-linear effects





## **Self-Excited Loop-Principle of Stabilization**

Controlling the external phase shift  $\theta_l$  can cc fluctuations in the cavity frequency  $\omega_c$  so the external frequency reference  $\omega_r$ .

$$\omega = \omega_c + \frac{\omega_c}{2Q} \tan \theta_l$$

Instead of introducing an additional external this is usually done by adding a signal in quadrature

 $\rightarrow$  The cavity field amplitude is unaffected by the phase stabilization even in the absence of amplitude feedback.







## **Self-Excited Loop**

- Resonators operated in self-excited loops in the absence of feedback are free of ponderomotive instabilities. An SEL is equivalent to the ideal VCO.
  - Amplitude is stable
  - Frequency of the loop tracks the frequency of the cavity
- Phase stabilization can reintroduce instabilities, but they are easily controlled with small amount of amplitude feedback





## **Input-Output Variables**

Generator - driven cavity



Cavity in a self-excited loop







## **Lorentz Detuning**

During transient operation (rise time and decay time) the loop frequency automatically tracts the resonator frequency. Lorentz detuning has no effect and is automatically compensated







## **Microphonics**

- Microphonics: changes in frequency caused by connections to the external world
  - Vibrations
  - Pressure fluctuations

When phase and amplitude feedbacks are active, ponderomotive effects can change the response to external disturbances

$$\delta \ddot{\omega}_{\mu} + \frac{2}{\tau_{\mu}} \delta \dot{\omega}_{\mu} + \Omega_{\mu}^{2} \delta \omega_{\mu} = -2\Omega_{\mu}^{2} k_{\mu} V_{0}^{2} \delta v + n(t)$$





## **Microphonics**

Two extreme classes of driving terms:

- Deterministic, monochromatic
  - Constant, well defined frequency
  - Constant amplitude
- Stochastic
  - Broadband (compared to bandwidth of mechanical mode)
  - Will be modeled by gaussian stationary white noise process





## **Microphonics (probability density)**





## **Microphonics (frequency spectrum)**

TM-class cavities (JLab, 6-cell elliptical, 805 MHz, β=0.61) Rich frequency spectrum from low to high frequencies Large variations between cavities TEM-class cavities (ANL, single-spoke, 354 MHz, β=0.4)

Dominated by low frequency (<10 Hz) from pressure fluctuations

Few high frequency mechanical modes that contribute little to microphonics level.







Frequency (Hz)

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SNS M02, Cavity 3, Bkgnd Microphonics Spectrum, 1W

## **Probability Density (histogram)**







## **Autocorrelation Function**

$$R_{x}(\tau) = \left\langle x(t) \, x(t+\tau) \right\rangle = \lim_{T \to \infty} \frac{1}{T} \int_{0}^{T} x(t) \, x(t+\tau) \, dt$$







## **Stationary Stochastic Processes**

x(t): stationary random variable

Autocorrelation function: 
$$R_x(\tau) = \langle x(t) x(t+\tau) \rangle = \lim_{T \to \infty} \frac{1}{T} \int_0^T x(t) x(t+\tau) dt$$

Spectral Density  $S_x(\omega)$ : Amount of power between  $\omega$  and  $d\omega$ 

 $S_x(\omega)$  and  $R_x(\tau)$  are related through the Fourier Transform (Wiener-Khintchine)

$$S_{x}(\omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} R_{x}(\tau) e^{-i\omega\tau} d\tau \qquad \qquad R_{x}(\tau) = \int_{-\infty}^{\infty} S_{x}(\omega) e^{i\omega\tau} d\omega$$

Mean square value:

$$\langle x^2 \rangle = R_x(0) = \int_{-\infty}^{\infty} S_x(\omega) \, d\omega$$





## **Stationary Stochastic Processes**

For a stationary random process driving a linear system  $x(t) \longrightarrow T(i\omega) \longrightarrow y(t)$ 

$$\left\langle y^{2} \right\rangle = R_{y}(0) = \int_{-\infty}^{+\infty} S_{y}(\omega) \, d\omega \qquad \left\langle x^{2} \right\rangle = R_{x}(0) = \int_{-\infty}^{+\infty} S_{x}(\omega) \, d\omega$$
$$R_{y}(\tau) \quad \left[ R_{x}(\tau) \right]: \text{ auto correlation function of } y(t) \quad \left[ x(t) \right]$$
$$S_{y}(\omega) \quad \left[ S_{x}(\omega) \right]: \text{ spectral density of } y(t) \quad \left[ x(t) \right]$$

$$S_{y}(\omega) = S_{x}(\omega) |T(i\omega)|^{2}$$

$$\left|\left\langle y^{2}\right\rangle = \int_{-\infty}^{+\infty} S_{x}(\omega) \left|T(i\omega)\right|^{2} d\omega\right|$$





## **Performance of Control System**

Residual phase and amplitude errors caused by microphonics

Can also be done for beam current amplitude and phase fluctuations

Assume a single mechanical oscillator of frequency  $\Omega_{\mu}$  and decay time  $\tau_{\mu}$ 

excited by white noise of spectral density A<sup>2</sup>







## **Performance of Control System**

$$<\delta\omega_{ex}^{2}>=A^{2}\int_{-\infty}^{+\infty}\left|G_{\mu}\left(i\omega\right)\right|^{2}d\omega=A^{2}\int_{-\infty}^{+\infty}\frac{d\omega}{\left|-\omega^{2}+\frac{2}{\tau_{\mu}}i\omega+\Omega_{\mu}^{2}\right|^{2}} = A^{2}\frac{\pi\tau_{\mu}}{2\Omega_{\mu}^{2}}$$

$$<\delta v^{2}>=A^{2}\int_{-\infty}^{+\infty}\left|G_{\mu}\left(i\omega\right)G_{a}\left(i\omega\right)\right|^{2}d\omega = <\delta \omega_{ex}^{2}>\frac{2\Omega_{\mu}^{2}}{\pi\tau_{\mu}}\int_{-\infty}^{+\infty}\left|\frac{G_{a}\left(i\omega\right)}{-\omega^{2}+\frac{2}{\tau_{\mu}}i\omega+\Omega_{\mu}^{2}}\right|^{2}d\omega$$

$$<\delta\varphi^{2}>=A^{2}\int_{-\infty}^{+\infty}\left|G_{\mu}\left(i\omega\right)G_{\varphi}\left(i\omega\right)\right|^{2}d\omega = <\delta\omega_{ex}^{2}>\frac{2\Omega_{\mu}^{2}}{\pi\tau_{\mu}}\int_{-\infty}^{+\infty}\left|\frac{G_{\varphi}\left(i\omega\right)}{-\omega^{2}+\frac{2}{\tau_{\mu}}i\omega+\Omega_{\mu}^{2}}\right|^{2}d\omega$$





## **The Real World**

**Probability Density** 







Time (s)



**Probability Density** 

**Normalized Autocorrelation Function** 

Hz



Sec



## **The Real World**

**Probability Density** 





**Probability Density** 

**Normalized Autocorrelation Function** 



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## **The Real World**

**Probability density** 



**Microphonics** 





**Probability density** 

**Normalized Autocorrelation Function** 







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## **Piezo Control of Microphonics**

#### FNAL, 3-cell 3.9 GHz







## **Piezo control of microphonics**

MSU, 6-cell elliptical 805 MHz,  $\beta$ =0.49

#### Adaptive feedforward compensation



Figure 2. Active damping of helium oscillations at 2K.

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Figure 3. Active damping of external vibration at 2K.



## **SEL and GDR**

- SEL are best suited for high gradient, highloaded Q cavities operated cw.
  - Well behaved with respect to ponderomotive instabilities
  - Unaffected by Lorentz detuning at power up
  - Able to run independently of external rf source
  - Rise time can be random and slow (starts from noise)

- GDR are best suited for low-Q cavities operated for short pulse length.
  - Fast predictable rise time
  - Power up can be hampered by Lorentz detuning





## **TESLA Control System**







## Low level rf control development



#### Concept for a LLRF control system





## **Basic LLRF Block Diagram**







## **Pulsed Operation**

 Under pulsed operation Lorentz detuning can have a complicated dynamic behavior



Fig. 2: Lorentz force detuning measured for a TESLA cavity at different gradients.





## **Pulsed Operation**

 Fast piezoelectric tuners can be used to compensate the dynamic Lorentz detuning





Figure 2. Lorentz force compensation at the TTF



