NATIONAL ACCELERATOR LABORATORY

# Imaging a Beam with <br> Synchrotron Radiation 

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## Diffraction

- Diffraction limits the resolution at long wavelengths.
- An important consideration when the beam is small (usually in $y$ ).
- Image a point near a defocusing quad, where the beam is largest vertically.
- A cold finger or slot also adds diffraction.
- Small beams drive the design toward shorter wavelengths.
- Blue rather than red, but often ultraviolet or x rays.
- More about using these wavelengths later.

Diffraction by an Aperture


## Diffraction by an Aperture

- All points in an aperture are considered point sources, reradiating light incident from a point source at $(X, Y)$
- Wavelength is $\lambda=2 \pi / k$.
- The field at $(x, y)$ is given by a Fresnel-Kirchhoff integral over the (small) aperture:

$$
\begin{aligned}
E(x, y) & =-\frac{A i}{2 \lambda} \iint_{\text {aperure }} \frac{e^{i k(r+s)}}{r s}(\cos \alpha+\cos \beta) d S \\
& \approx-\frac{A i}{2 \lambda r_{0} s_{0}}(\cos \alpha+\cos \beta) \iint_{\text {aperuve }} e^{i k(r+s)} d S
\end{aligned}
$$

- Everything is essentially constant except the phase from each point in the aperture.


## Expanding the Phase

$$
\begin{gathered}
r=\sqrt{(X-u)^{2}+(Y-v)^{2}+r_{0}^{2}} \approx r_{0}+\frac{(X-u)^{2}+(Y-v)^{2}}{2 r_{0}} \\
s=\sqrt{(x-u)^{2}+(y-v)^{2}+s_{0}^{2}} \approx s_{0}+\frac{(x-u)^{2}+(y-v)^{2}}{2 s_{0}} \\
e^{i k(r+s)} \approx \exp \left[i k\left(r_{0}+s_{0}+\frac{X^{2}+Y^{2}}{2 r_{0}}+\frac{x^{2}+y^{2}}{2 s_{0}}\right)\right] \exp \left[i k\left(\frac{u^{2}+v^{2}}{2 r_{0}}+\frac{u^{2}+v^{2}}{2 s_{0}}\right)\right] \exp \left[-i k\left(\frac{X u+Y v}{r_{0}}+\frac{x u+y v}{s_{0}}\right)\right]
\end{gathered}
$$

- First factor: Independent of the aperture coordinates $u, v$.
- Contributes only an overall phase to the $u v$ integral over the aperture.
- Second: Quadratic in $u$ and $v$. Neglegible since the aperture is small.
- Third: Products of $u, v$ with cosines ( $X / r_{0}$, etc.) of the ray angles from the source or measurement points to the horizontal and vertical axes.
- The only factor that matters in the integral over the aperture is:

$$
\begin{aligned}
& \quad e^{i k(r+s)} \approx \exp [-i k(p u+q v)] \\
& \text { where } \quad p=X / r_{0}+x / s_{0} \text { and } q=Y / r_{0}+y / s_{0}
\end{aligned}
$$

## Spatial Fourier Transform

- The diffraction pattern on the $x y$ plane becomes a Fourier transform in the spatial coordinates $u v$ of the aperture:

$$
E(x, y)=-\frac{A i}{2 \lambda r_{0} s_{0}}(\cos \alpha+\cos \beta) \iint_{\text {aperuwe }} e^{-i k(p u+q v)} d u d v
$$

- One example of this principle is a spatial filter:
- Laser light is sometimes focused through a small hole to remove noisy, nonGaussian parts of the beam's transverse profile.
- Since the noise is found at high spatial frequencies, which appear at larger values of $u$ and $v$, it can be clipped by a properly sized hole, which acts as a spatial filter.

Diffraction by a Lens


## Diffraction by a Lens: Path Length

- The length $S$ of each optical path from source $(X, Y)$ to image $\left(x_{i}, y_{i}\right)$ is equal.

$$
S=\int_{(X, Y)}^{\left(x_{i}, y_{i}\right)} n(s) d s
$$

- The integral along each path element $d s$ is scaled by the index of refraction $n$.
- This is a fundamental property of geometric imaging.
- The phase difference in the $u v$ integral arises from the different paths from $(X, Y)$ to $(x, y)$, compared to the equal paths from $(X, Y)$ to $\left(x_{i}, y_{i}\right)$.
- It is helpful to subtract this reference path, so that the phase difference becomes the difference between $(u, v)$ to $(x, y)$ and $(u, v)$ to $\left(x_{i}, y_{i}\right)$.

$$
\begin{aligned}
& \sqrt{(x-u)^{2}+(y-v)^{2}+s_{0}^{2}}-\sqrt{\left(x_{i}-u\right)^{2}+\left(y_{i}-v\right)^{2}+s_{0}^{2}} \\
& \approx-\frac{\left(x-x_{i}\right) u+\left(y-y_{i}\right) v}{s_{0}}=-\frac{\rho w}{s_{0}} \cos (\phi-\psi)
\end{aligned}
$$

- Here we used polar coordinates: $(u, v) \rightarrow(w, \psi)$ and $\left(x-x_{i}, y-y_{i}\right) \rightarrow(\rho, \phi)$


## Diffraction by a Lens: Result

- The diffraction integral (neglecting constants) becomes:

$$
\begin{aligned}
E(x, y) & =\int_{0}^{2 \pi} \int_{0}^{D / 2} \exp \left[-i k \frac{\rho w}{s_{0}} \cos (\phi-\psi)\right] w d w d \psi \\
& =2 \pi \int_{0}^{D / 2} J_{0}\left(\frac{k \rho w}{s_{0}}\right) w d w=\left(\frac{\pi D^{2}}{4}\right) \frac{2 J_{1}\left(\frac{k \rho D}{2 s_{0}}\right)}{\frac{k \rho D}{2 s_{0}}}
\end{aligned}
$$

where we have used two Bessel-function identities.

- This is called the Airy diffraction pattern.


## Diffraction by a Lens: Airy Pattern

- Concentric circles, with the first minimum at radius $r_{A}$ :

$$
r_{A}=1.22 \frac{s_{0}}{D} \lambda=0.61 \frac{\lambda}{\theta} \approx 1.22 \frac{f}{D} \lambda=1.22 F \lambda
$$

- $\theta$ is the half angle of the light cone exiting the lens.
- $F$ is called the "F-number" of the lens.
- We plot this pattern for $\lambda=450 \mathrm{~nm}, D=$ 50 mm , and $s_{0}=1 \mathrm{~m}$
- Top right: The central circle is saturated by a factor of 30 to highlight the faint rings.
- Bottom right: The blue curve is multiplied by 10 to highlight the rings.
- $\quad r_{A}$ is the resolution of the imaging system.
- Compare it to the size of the geometric image to see if diffraction is a problem.



## Diffraction of Dipole Radiation

- For the half angle $\theta$, substitute the Gaussian approximation for dipole radiation given earlier:

$$
r_{d} \approx 0.61 \frac{\lambda}{\theta}=\frac{0.61 \lambda}{0.60 \gamma^{0.062}(\lambda / \rho)^{0.354}} \approx \rho^{\frac{1}{3}} \lambda^{\frac{2}{3}}
$$

- Short wavelengths: In the visible, choose blue at 400 nm (or use UV or x rays).
- Large opening angles: In the LHC at high energy, edge radiation is too narrow.
- A difficult case: The HER of PEP-II has $\rho=165 \mathrm{~m}$. At $400 \mathrm{~nm}, r_{d}=0.25 \mathrm{~mm}$.
- More thoroughly, use the SR power spectral density from a point source in a Fraunhofer diffraction integral over the area of the lens illuminated through the beamline aperture, to find the field at $\left(x^{\prime}, y^{\prime}\right)$ on the image:

$$
E\left(x^{\prime}, y^{\prime}\right)=A \int_{-x_{a}}^{x_{a}} d x \int_{-y_{a}}^{y_{a}} d y \frac{\gamma P_{s}}{\omega_{c}} F_{s}(\omega, \psi) e^{-i k(u x+v y)}
$$

- The first minimum of the intensity then gives the resolution.
- Optics software like Zemax does (monochromatic) diffraction calculations.


## Depth of Field

- A dipole emits light along a gradual arc, not from a single plane.
- What is the source distance?
- Can it all be in focus?
- How do you avoid blurring the measurement?



## Depth of Field: A Quick Derivation

- Diameters of A and C images as they cross the $x y$ plane, based on typical rays at angles $\pm \theta / 2$ :

$$
d=2\left|\frac{D / 4}{2 f \mp \Delta z}( \pm \Delta z)\right| \approx \frac{D \Delta z}{4 f}=\theta \Delta z
$$

- The vertical angle $\theta$ lighting the lens is roughly $2 \sigma_{\lambda}$.
- If we capture a similar portion of a horizontal arc:

$$
\begin{gathered}
\Delta z=\rho \sigma_{\lambda} \\
d=\theta \Delta z=2 \rho \sigma_{\lambda}^{2} \approx 0.7 \rho^{\frac{1}{3}} \lambda^{\frac{2}{3}}
\end{gathered}
$$

- This expression is similar to the diffraction resolution.
- As before, short wavelengths are preferable.
- But this time, small opening angles are better.
- If the source is dipole radiation, the angle and the wavelength are not independent.
- But how much of the orbit do we actually capture?


## From Horizontal Space to Phase Space

- Consider the beam's orbit both in the horizontal plane $(x z)$ and in horizontal phase space ( $x x^{\prime}$ ).
- $x^{\prime}$ is the beam's angle to the direction of motion $z$.
- Which rays, at which angles, are reflected by M1?



## Horizontal Phase Space

- A point on the orbit near the $x z$ origin is given by:

$$
(x, z)=(\rho-\rho \cos \theta, \rho \sin \theta) \approx\left(\frac{1}{2} \rho \theta^{2}, \rho \theta\right)=\left(\frac{1}{2} \rho x^{\prime 2}, \rho x^{\prime}\right)
$$

- For a point on the orbit, the angle $x^{\prime}$ to the $z$ axis is equal to $\theta$.
- The rays striking the $+x$ and $-x$ ends of M1 are given by:

$$
\begin{gathered}
x+x^{\prime}\left(z_{m} \pm \frac{L_{m}}{2} \cos \alpha_{m}-z\right)= \pm \frac{L_{m}}{2} \sin \alpha_{m} \\
x+x^{\prime} z_{m} \approx \pm \frac{L_{m}}{2} \sin \alpha_{m}
\end{gathered}
$$

- We plot these curves in phase space, along with the beam's 1-sigma phasespace ellipse at three points along its orbit.


## LHC: $x$ Phase Space



## LHC: $x$ Phase Space

- The two mirror edges appear as slanted lines.
- Because the radius of curvature is so long, the mirror receives light from the first 3 m of the dipole.
- The path can be shortened by adding a slit one focal length from the first focusing optic (mirror or lens).
- The position of a ray on this plane corresponds only to its angle $x^{\prime}$ at the source.
- We can select light from an adjustable horizontal band across the plot.
- The $x$ positions of the proton ellipses shift along this 3-m path.
- Project light from each ellipse onto the $x$ axis
- The combined light is smeared out along $x$ and so blurs the resolution.
- But each proton emits light with an opening angle.
- We need the photon ellipse, not the proton ellipse.
- A convolution of the proton ellipse with the opening angle.


## LHC: $x$ Phase Space with Light Ellipses



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## LHC: $x$ Phase Space with Light Ellipses

- The ellipses are much bigger, going well outside the slit.
- They get increasing tilted and elongated with distance from the entrance to the dipole.
- But this plot assumes that the optics are focused at the dipole entrance.
- Move the focus to the midpoint of the 3-m path.


## LHC: $x$ Phase Space, Focus at Midpoint



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## LHC: $x$ Phase Space, Projection onto $x$



- Slit excludes the right $(+x)$ side of the elongated ellipses, but includes the left side.
- Tail on the left side due to depth of field.
- Right side of total distribution is a good measure of the true beam size.
- This answer pertains only to the LHC. Each machine requires a careful study of depth of field.


## LHC: y Phase Space, Focus at Midpoint



LHC: y Phase Space, Projection onto $y$


- The elongated ellipses create a tail on both sides.
- The vertical measurement is more affected by depth of field than the horizontal.
- Broadens the result by as much as $20 \%$ at 7 Tev .
- Adding a vertical slit does little to reduce the effect.


## Photon Emittance (Brightness)

- Accelerator people know that Liouville's theorem conserves the emittance of a beam in a transport line.
- The phase-space ellipse changes shape, but not area.
- At each waist, the size-angle product $\sigma_{x} \sigma_{x^{\prime}}$ is constant.
- (But for electrons in a ring, dissipation by synchrotron radiation allows damping that "cheats" Liouville.)
- Light in an optical transport line has an emittance too.
- At each image, the product of size and opening angle (light-cone angle) is constant.
- Magnification makes the image bigger, but the angle smaller.
- The area of the light's phase-space ellipse-the brightness of the source-is conserved.

Conservation of Brightness


## Minimum Photon Emittance

- The minimum emittance for a light beam is that of the lowestorder Gaussian mode $\left(\mathrm{TEM}_{00}\right)$ of a laser.
- $\omega$ is the beam radius.
- In the usual definition (where $\omega$ is not the one-sigma value):
- The electric field follows $E(r)=E_{0} \exp \left(-r^{2} / \omega^{2}\right)$
- The intensity (power) is the square: $I(r)=I_{0} \exp \left(-2 r^{2} / \omega^{2}\right)$
- $\omega_{0}$ is the radius at the waist (the focus).
- This size is nonzero due to diffraction.
- $z_{R}=\pi \omega_{0}^{2} / \lambda$ is called the Rayleigh length.
- Characteristic distance for beam expansion due to diffraction.
- The expansion is given by $\omega^{2}(z)=\omega_{0}^{2}\left(1+z^{2} / z_{R}{ }^{2}\right)$
- The angle (for $z \gg z_{R}$ ) is $\theta=\omega / z=\omega_{0} / z_{R}=\lambda / \pi \omega_{0}$
- The product of waist size and angle is then $\omega_{0} \theta=\lambda / \pi$
- One-sigma values for the size and angle of $I$ give an emittance of $\lambda / 4 \pi$


## Measuring Small Beams

- Third-generation light sources (SLS, SOLEIL, Diamond, SSRF, ALBA, PETRA-III, NSLS2...), future HEP accelerators (ILC damping rings, Super- $B$, ERLs) and prototypes (ATF at KEK) have very low emittances:
- Typical emittances: $\varepsilon_{x} \approx 1 \mathrm{~nm} \quad \varepsilon_{y} \approx 10 \mathrm{pm}$
- Typical beam sizes: $\sigma_{x}<100 \mu \mathrm{~m} \quad \sigma_{y}<10 \mu \mathrm{~m}$
- An SLM images a beam from many meters away. (It's really a telescope.)
- F-number must be large: $\quad F=f / D \sim(10 \mathrm{~m}) /(50 \mathrm{~mm})=200$
- Resolution with blue light: $r_{A}=1.22 F \lambda \sim 100 \mu \mathrm{~m}$
- Techniques for measuring small beams:
- In this lecture:
- Imaging with ultraviolet synchrotron radiation
- Imaging with x rays
- Other methods that do not use synchrotron radiation (briefly)
- Wednesday:
- Synchrotron-light interferometry ( $\sim 10 \mu \mathrm{~m}$ resolution)
- Vertical beam size using the null in vertically polarized light
- Not in Wednesday's lecture, but similar in concept to an interferometer

Without Synchrotron Light: Wire Scanner

- Methods that don't use synchrotron light are also useful. They're outside the scope of this class, but...
- Wire scanner:
- While a thin stretched wire is scanned across the (wider) beam, measure scattered radiation or lost electrons vs. wire position.
- Gives a projection of the beam in the scan direction.
- Three wires at $0,45,90$ degrees give major and minor axes and tilt of beam ellipse.
- Wire size ( $\geq 4 \mu \mathrm{~m}$ ), limited by wire erosion, sets resolution.

- Multiple measurements: beam jitter
- Can be destructive to stored beams


## Without Synchrotron Light: Laser Wire

- Laser crosses electrons at a waist smaller than the $e$-beam.
- Focus with a small F-number to get resolution $\approx \lambda \geq 300 \mathrm{~nm}$.
- Like wire scanner, look for scattered radiaton.
- Compared to wire scanner, better resolution and nondestructive. Still needs many measurements.



## Laser Interferometer

- Split a laser beam. Intersect both parts at an angle as they cross the electron beam.
- Interference fringes with maxima and minima across the electrons.
- Move the beam relative to the fringe pattern.
- When the beam is small compared to the fringe spacing, the scatter is heavily modulated by the shift in the fringes.
- Can measure down to tens of nm


(b) Focused beam
$\sigma y \sim d / 2 \pi$

(c) Well focused beam
$\sigma y \ll d / 2 \pi$


## Imaging with UV Synchrotron Light

- Can't go far into the UV without problems.
- Window and lens materials become opaque:
- Glasses (like BK7 at right) are useful above ~330 nm.
- Fused silica works above $\sim 170 \mathrm{~nm}$.
- Special materials like $\mathrm{MgF}_{2}$ work above $\sim 120 \mathrm{~nm}$.
- Absorption in air below $\sim 100 \mathrm{~nm}$
- Must use reflective optics in vacuum.






## Imaging with Synchrotron X Rays

- The good news: Most of the beam's emission is in the x-ray region.
- The bad news: How do you form an image?
- We'll discuss some techniques:
- Pinhole cameras
- Zone plates
- Grazing-incidence optics
- X-ray lenses
- Labs later today on imaging with pinholes and zone plates (along with ordinary lenses)


## Imaging X Rays with a Pinhole Camera

- Resolution $\sigma$ on image plane with a pinhole of radius $r: \quad \sigma=\sqrt{\sigma_{g}^{2}+\sigma_{d}^{2}}$
- Distances: $a$ from source to pinhole, $b$ from pinhole to image:
- Geometric optics: Pinhole should be << beam size

$$
\sigma_{g}=\frac{r}{\sqrt{3}} \frac{a+b}{a}
$$

- Diffraction blurs image if the pinhole is too small:
- Pinhole size for best resolution:
- Geometric mean of $\lambda(\sim 0.2 \mathrm{~nm})$ and $a$ or $b(\sim 10 \mathrm{~m}): r \approx 20 \mu \mathrm{~m}$
- Optimum resolution on the source plane: $\quad \sigma_{\text {opt }}^{2}=\frac{5 \lambda}{4 \pi \sqrt{3}}\left(1+\frac{a}{b}\right) a$
- Want small $\lambda$, small $a$, and large magnification b/a
- On image plane, a scintillator converts x rays to visible light.
- Make "pinhole" with a sheet of heavy metal thick enough to stop x rays.
- X-rays surrounding the hole must be blocked upstream, so that pinhole get too hot and deform.
- Most of the x rays are not used for the image

Pinhole Camera at ESRF


Their $10-\mu \mathrm{m}$ pinhole gives a resolution of $13 \mu \mathrm{~m}$.

## X-Ray Pinhole Camera in the PEP-2 LER



## Design of the Pinhole Assembly



Gold disk for heat transfer

- Pt:Ir (90:10) disk with 4 pinholes.
- Diameters of 30, 50, 70, and $100 \mu \mathrm{~m}$.
- Front: Glidcop with 4 larger holes


## Photos of the Pinhole Assembly



## Imaging X Rays with a Zone Plate



- A diffractive lens, made by microlithography
- Rings of a high-Z metal (gold) deposited on a thin low-Z membrane (SiN)
- Ring widths as narrow as 50 nm are possible
- Power must be kept low, and bandwidth must be narrow ( $\approx 1 \%$ )
- Precede with a pair of multilayer x-ray mirrors, which reflect a narrow band and absorb the out-of-band power.


## How Does a Zone Plate Work?

- Consider a transmissive diffraction grating.
- Parallel opaque lines on a clear plate, with period $a$
- Parallel rays of wavelength $\lambda$ passing through adjacent lines and exiting at an angle $\theta$ have a difference in optical path of $a \sin \theta$.
- They are in phase if this difference is $n \lambda$, giving the $n^{\text {th }}$-order diffraction maximum: $\sin \theta_{n}=n \lambda / a$
- Now wrap these grating lines into a circle.
- $1^{\text {st }}$ order bends toward center: focusing
- $-1^{\text {st }}$ order bends away from center: defocusing
- $0^{\text {th }}$ order continues straight ahead
- Make central circle opaque to block $0^{\text {th }}$-order light around the focus (a "central stop").
- But the $1^{\text {st }}$-order rays are parallel and so don't focus
- Vary the zone spacing as a function of ring radius $r$ so that all the exiting rays meet at a focal point a
 distance $f$ from the zone plate.


## How the Zone Widths Vary

- To focus at $f$, the ray at radius $r_{n}$ must exit at an angle $\theta_{n}$ with: $r_{n}=f \tan \theta_{n}$
- First-order diffraction gives $\lambda=a_{n} \sin \theta_{n}$
- The grating period $a$ now varies too: $a_{n}=r_{n+1}-r_{n-1}$
- There are many, closely spaced zones, and so we treat $n$ as a continuous variable: $a(n)=\Delta \mathrm{n} d r(n) / d n=2 d r(n) / d n$
- We use the expression for $\tan \theta(n)$ to substitute for $\sin \theta(n)$ :

$$
\begin{gathered}
\sin ^{2} \theta=\frac{1}{\cot ^{2} \theta+1}=\frac{1}{1+f^{2} / r^{2}}=\frac{\lambda^{2}}{a^{2}}=\lambda^{2} /\left(2 \frac{d r}{d n}\right)^{2} \\
\frac{d}{d n}\left(\frac{r^{2}}{f^{2}}\right)=\frac{\lambda}{f} \sqrt{1+\frac{r^{2}}{f^{2}}} \quad \int_{0}^{n} \frac{\lambda}{f} d n^{\prime}=\frac{\lambda n}{f}=\int_{0}^{r^{2} / f^{2}} \frac{d x}{\sqrt{1+x}}=2 \sqrt{1+\frac{r^{2}}{f^{2}}-2} \\
\frac{r^{2}}{f^{2}}=\left(\frac{\lambda n}{2 f}+1\right)^{2}-1 \\
r^{2}=n \lambda f+\frac{n^{2} \lambda^{2}}{4}
\end{gathered}
$$

## Zone-Plate Formulas

- $\lambda=$ wavelength (monochromatic light)
- $\Delta \lambda=$ bandwidth
- $f=$ focal length of lens at $\lambda$
- $N=$ number of zones
- Counting both clear and opaque zones
- $r_{n}=$ radius of $n^{\text {th }}$ zone boundary

- $\Delta r=r_{N}-r_{N-1}=$ thickness of outer zone
- $D=2 r_{N}=$ outer diameter
- $F=\mathrm{F}$-number
- $r_{A}=$ (Airy) resolution

$$
\begin{aligned}
& r_{n}=\sqrt{n f \lambda+n^{2} \lambda^{2} / 4} \approx \sqrt{n f \lambda} \\
& f=4 N(\Delta r)^{2} / \lambda \quad D=2 r_{N}=4 N \Delta r \\
& F=f / D=\Delta r / \lambda \quad r_{A}=1.22 F \lambda=1.22 \Delta r
\end{aligned}
$$

$\Delta \lambda<\lambda / N$ to avoid chromatic blurring

## Imaging with a Fresnel Zone Plate



- A zone plate is designed to focus at a single wavelength.
- This is called "strong chromatic aberration".
- Insert a monochromator, to limit bandwidth and to absorb power at other wavelengths.
- With two crystals, the entering and exiting rays are parallel.

Zone Plate at SPring-8


- Monochromator transmits $8.2-\mathrm{keV}$ photons ( $\lambda=0.151 \mathrm{~nm}$ )
- Total magnification $=13.7(0.2737$ by FZP, 50 by XZT $)$
- $4-\mu \mathrm{m}$ resolution with the help of the x-ray zooming tube
- Observed a transient in the beam size during top-off operation

SPring-8 Diagnostic Beamline


## Zone-Plate Imaging at the ATF at KEK



Specifications of the ATF Zone Plates

TABLE II. Specifications of the two FZPs.

| Fresnel zone plate | CZP | MZP |
| :--- | :---: | :---: |
| Total number of zone | 6444 | 146 |
| Radius | 1.5 mm | $37.3 \mu \mathrm{~m}$ |
| Outermost zone width $\Delta r_{N}$ | 116 nm | 128 nm |
| Focal length at 3.24 keV | 0.91 m | 24.9 mm |
| Magnification | $M_{\text {CZP }}=1 / 10$ | $M_{\text {MZP }}=200$ |

- Total magnification $=20$
- Detecting 3.24-keV photons ( $\lambda=0.383 \mathrm{~nm}$ )
- Where's the monochromator? A pinhole at the intermediate waist can be used to reject defocused light at other wavelengths.


## Grazing-Incidence X-Ray Mirrors

- A plasma with electron density $n_{e}$ has characteristic oscillations of charge and electric field at the "plasma frequency" $\omega_{p}=\sqrt{n_{e} e^{2} /\left(\varepsilon_{0} m_{e}\right)}$
- The index of refraction of the plasma is $n=1-\omega_{p}^{2} / \omega^{2}<1$
- The free electrons in a metal act like a plasma.
- Visible frequencies are cut off: $\omega \ll \omega_{p}$ and so $n<0$
- X rays are transmitted: $\omega \gg \omega_{p}$ and so $n$ is slightly below 1
- Snell's law: $n_{1} \sin \theta_{1}=n_{2} \sin \theta_{2}$, or $n_{1} \cos \alpha_{1}=n_{2} \cos \alpha_{2}$
- Here $\theta_{1}=\pi / 2-\alpha_{1}$ and $\theta_{2}=\pi / 2-\alpha_{2}$ are the ray angles to the normal.
- Total internal reflection occurs in medium 1 when $n_{1} \sin \theta_{1}>\mathrm{n}_{2}$
- For x-rays in vacuum striking metal at an angle $\alpha$ to grazing:

$$
\cos \alpha>1-\omega_{p}^{2} / \omega^{2}, \text { or } \alpha<\sqrt{2} \omega_{p} / \omega \ll 1
$$

- Mirrors at small angles to grazing can reflect x rays
- Flat grazing-incidence mirrors
- Multilayer mirrors that use interference to get a narrow bandwidth
- Telescopes and imaging systems using off-axis conic surfaces

X-Ray Reflectivity of Materials


## Multilayer X-Ray Mirrors



- Multilayer mirror designed for a narrow passband at 8 keV
- Unpolarized light incident at 1.51 degrees
- 200 periods with alternating layers of low- and high- Z materials: $\mathrm{B}_{4} \mathrm{C}$ and Mo
- 3-nm spacing: 2.1 nm of $\mathrm{B}_{4} \mathrm{C}$ and 0.9 nm of Mo , with an interdiffusion thickness of 0.5 nm


## Refractive X-Ray Lenses

- The refractive index for x rays is slightly below 1 for any material.
- When a ray in vacuum strikes a material at a non-grazing angle, the transmitted ray enters with a deflection following Snell's law.
- A spherical surface can make an x-ray lens!
- Collimated x rays: Use a parabolic surface
- $n<1$ : A focusing lens must be concave.
- $1-n \ll 1$ : Small deflection, long focal length
- Stack many lenses for a shorter overall focal length.
- To avoid absorption: Low-Z material (Be)
- $1-n=\omega_{p}{ }^{2} / \omega^{2}$ : Strong chromatic aberration
- Only monochromatic x-rays can focus.

Single focusing lens


Paraboloidal surface, typically with $R=0.2 \mathrm{~mm}$ and $R_{0}=0.5 \mathrm{~mm}$
 up to 300 elements

## Beamline Design: Machine Constraints

- Distance to the first mirror (M1)
- Ports and M1 itself introduce wakefields and impedance.
- Is M1 flush with the vacuum-chamber wall?
- The heat load on M1 is reduced by distance.
- Is the mirror far down a synchrotron-light beamline?
- Distance to the imaging optics
- In a hutch: Adds distance to get outside the shielding
- In the tunnel: Inaccessible, but often necessary for large colliders.
- Size and location of the optical table
- What measurements are needed?
- Which instruments are available (affordable)?

Beamline Design: Optical Constraints

- Choose a source point with a large $y$ size, to lessen effect of diffraction (for visible light).
- Magnification: Transform expected beam size to a reasonable size on the camera.
- $6 \sigma<$ camera size $<12 \sigma$ : Uses many pixels; keeps the image and the tails on the camera; allows for orbit changes.
- Needs at least two imaging stages: Since the optics are generally far from the source, the first focusing element strongly demagnifies.
- Optics: Use standard components whenever possible.
- For example, adjust the design to use off-the-shelf focal lengths from the catalog of a high-quality vendor.
- Use a color filter to avoid dispersion in lenses (or use reflective optics).
- Correct lens focal lengths (specified at one wavelength) for your color.


## Basic Design Spreadsheet

- You can iterate a lot of the basic design in a simple spreadsheet.
- Enter the fixed distances.
- Specify the desired magnifications.
- Solve the lens equations, one stage at a time, to find lenses giving the ideal magnifications.
- Change the lenses to catalog focal lengths.
- Correct their focal lengths (using the formula for each material as found in many catalogs).
- Iterate the magnifications and distances.
- Then optimize your design with optics software
- I used Zemax for the CERN design.

