



# Short Bunch Instabilities

John Byrd

# Overview



- Single Pass instabilities
  - CSR microbunching
  - Longitudinal Space Charge
- Impedance sources
- LCLS
  - microbunching gain
  - An effective laser heater to suppress microbunching
- SDL
  - Observations and analysis
- Storage Ring Microbunching instability

# Some references



- CSR microbunching
  - Borland *et al.*, NIMA (2002) LCLS *elegant* studies
  - Saldin, Schneidmiller, Yurkov, NIMA (2002) Klystron amplification
  - Heifets, Stupakov, Krinsky, PRST-AB (2002) Integral equation
  - Huang, Kim, PRST-AB (2002) Integral equation with iterative solution
  
- LSC instability
  - Saldin, Schneidmiller, Yurkov, TESLA-FEL-2003-02
  
- Study of effective Landau damping
  - Huang, Borland, Emma, Wu, Limborg, Stupakov, Welch,
  - SLAC-PUB-10334, 2004
  
- SDL modulation experiments
  - Graves *et al.*, PAC2001, observations of “microbunching”
  - Shaftan and Huang, BNL-71491 (2003), data analysis and modeling

# Single pass instabilities

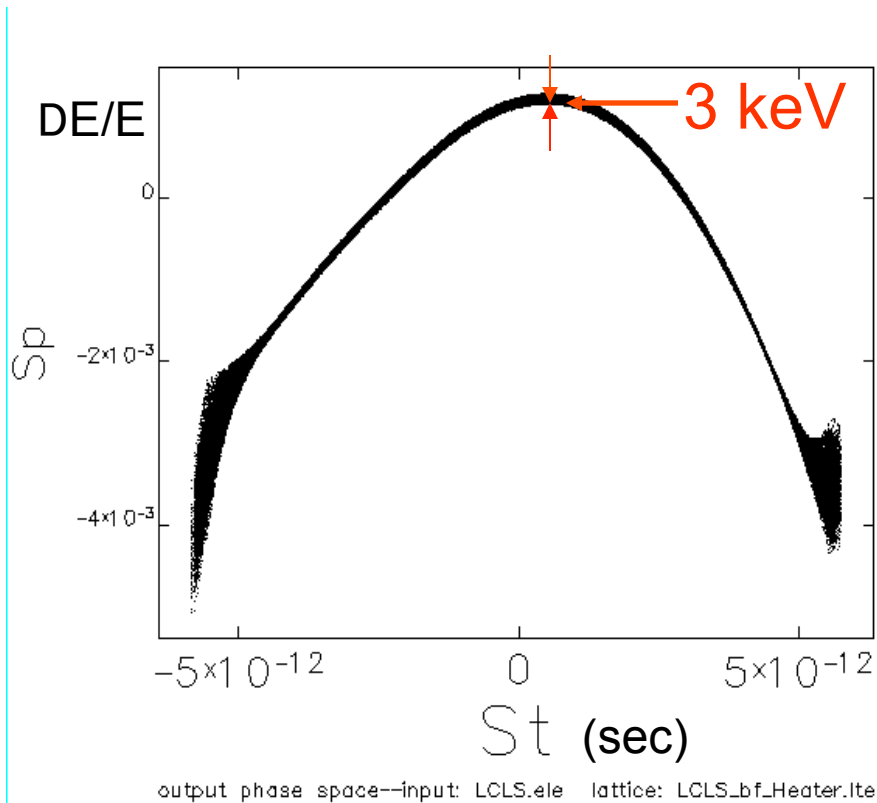


- high FEL gain (i.e. instability) in the undulator requires very bright electron beams (small emittance and energy spread)
- Such a bright beam interacting with self-fields in the accelerator before the undulator can be sensitive to other “undesirable” instabilities.
- Bunch compressors designed to increase the peak current give rise to a microbunching instability that may degrade the beam quality significantly
- Increasing the local energy spread within the FEL tolerance (**controlled heating**) can damp the undersired instability while keeping an acceptable FEL gain.

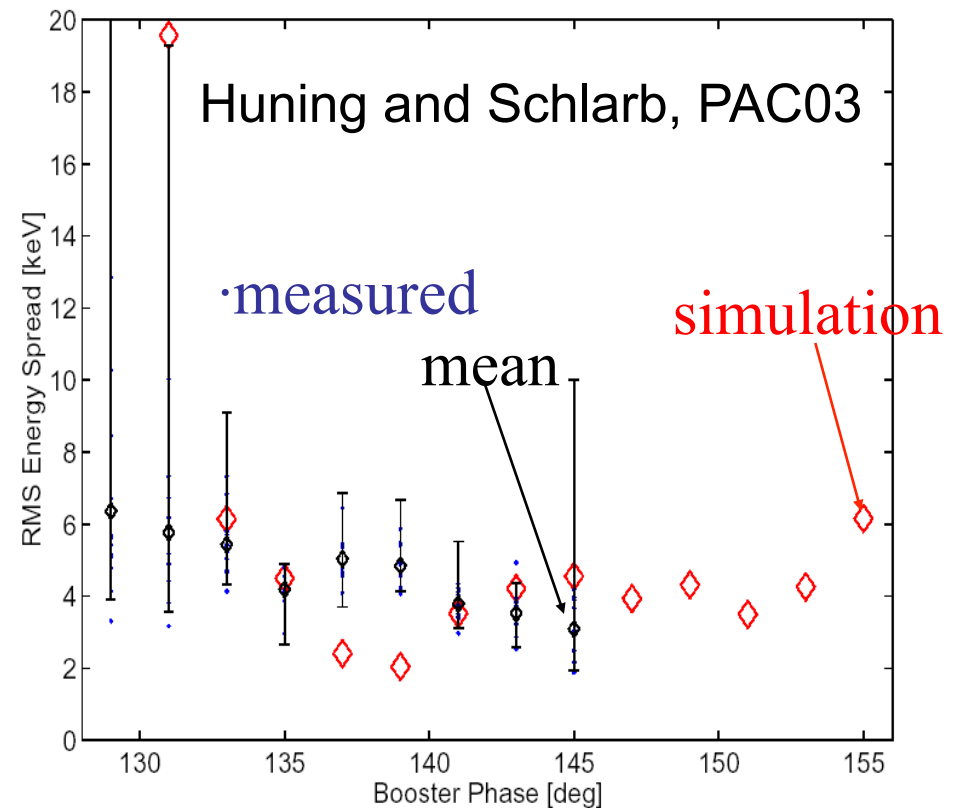
# How cold is the photoinjector beam?



## Parmela at 1 nC



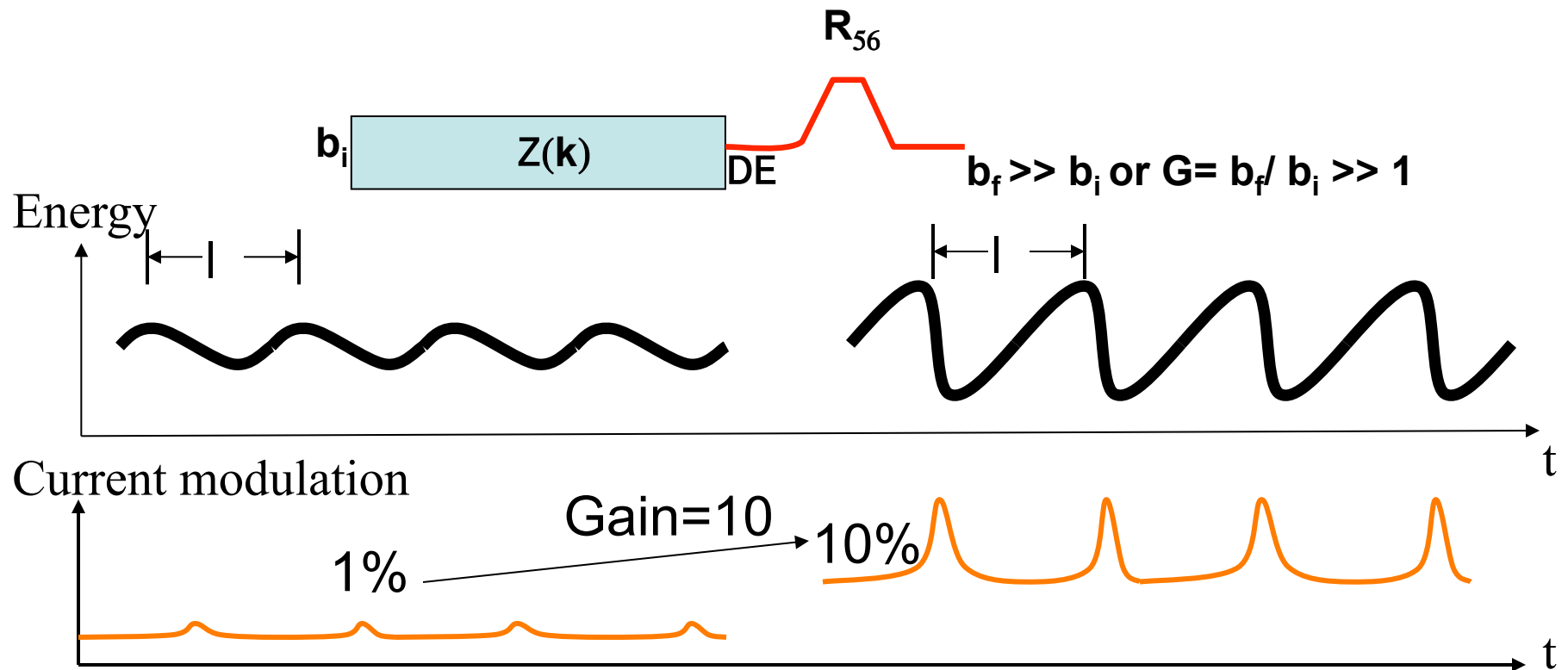
## TTF measurement





# Instability mechanism

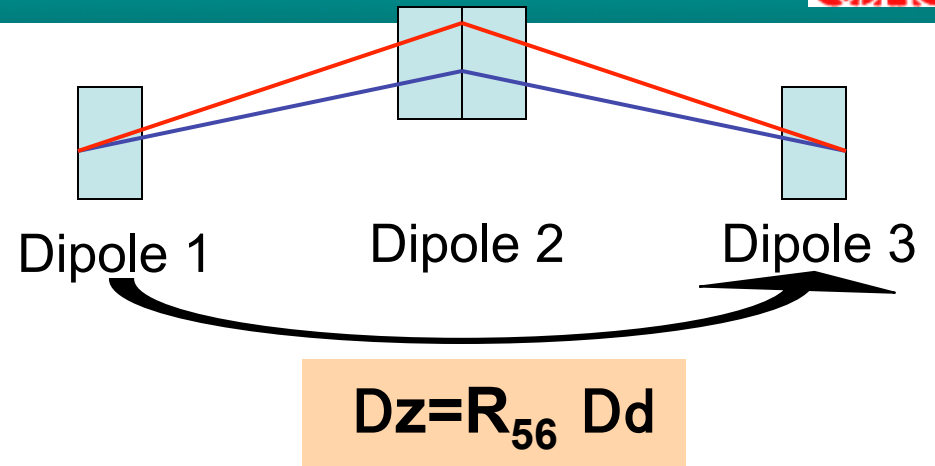
- Initial density modulation induces energy modulation through long. impedance  $Z(k)$ , converted to more density modulation by a chicane  $\rightarrow$  **growth of slice energy spread / emittance!**



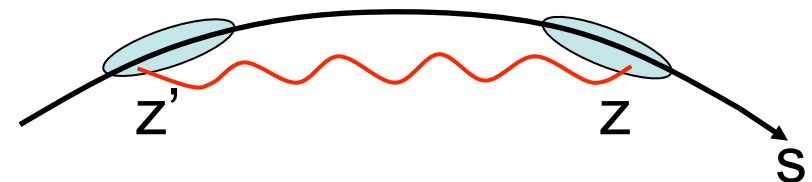
# CSR Impedance



- Chicane introduces path length dependence on energy by bending the beam



- Radiation from bunch tail catch up the head, increase energy spread and emittance



- CSR “wake”  $W(z-z')$  or longitudinal impedance  $Z(k)$  ( $k = 2\pi/l$ ) (Murphy et al., Derbenev et al.)

$$Z(k) = -iA \frac{k^{1/3}}{\rho^{2/3}}$$

$$k = 2\pi/l = \omega/c$$

# Linac Impedance



- Linac wake (K. Bane)

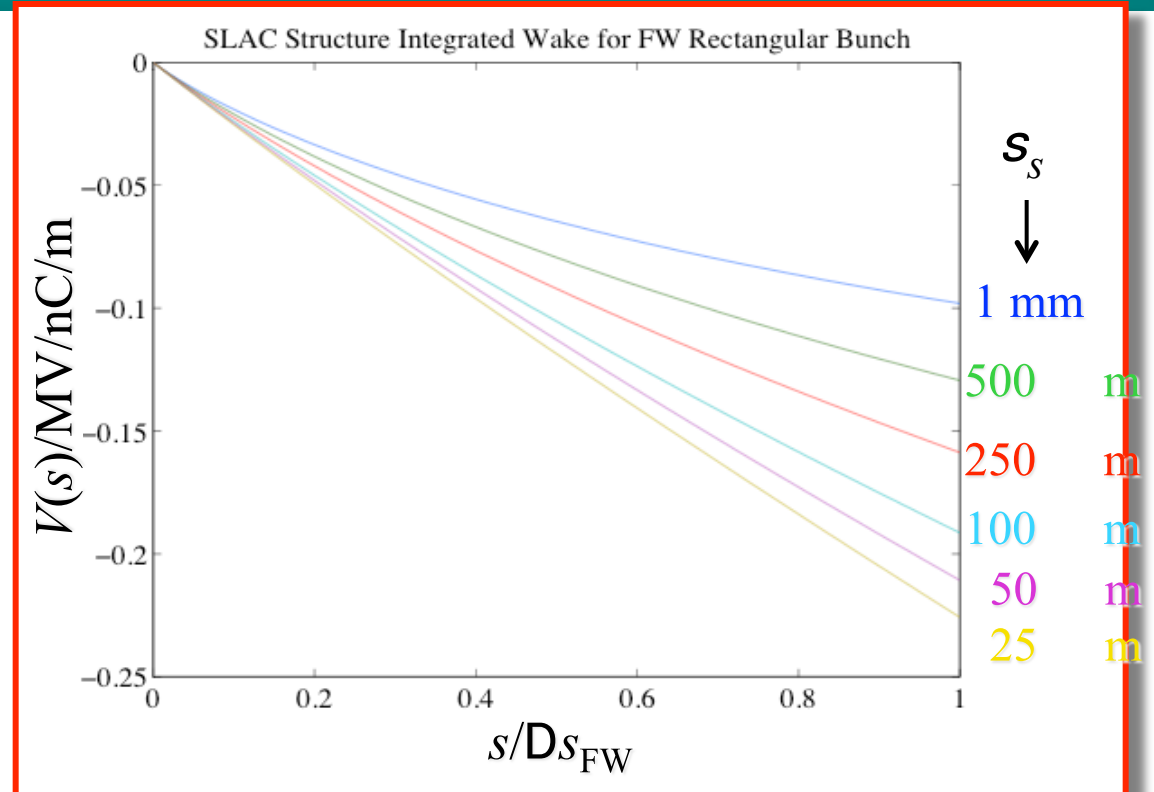
$$W(s) \approx \frac{Z_0 c}{\pi a^2} e^{-\sqrt{s/s_0}}$$

**SLAC S-Band:**

$s_0$  1.32 mm

$a$  11.6 mm

$s < \sim 6$  mm



- To first order in  $1/k$

$$Z(k) = \frac{4i}{a^2 k} \text{ (capacitive)}$$

- Small at high frequency but can contribute to energy modulation over the entire linac ( $\sim 1000$  m)

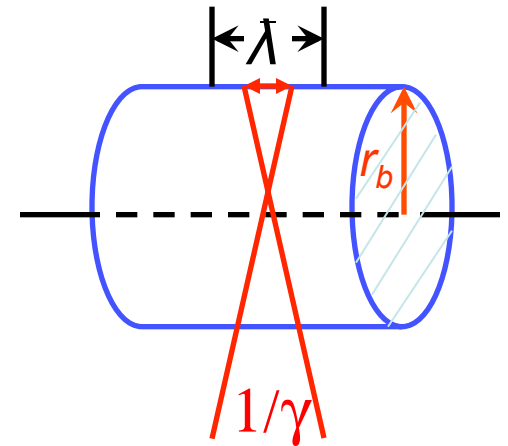


# LSC Impedance



- Free-space longitudinal space charge impedance

$$\begin{aligned} Z(k) &= \frac{4i}{kr_b^2} \left[ 1 - \frac{kr_b}{\gamma} K_1 \left( \frac{kr_b}{\gamma} \right) \right] \\ &= \frac{4i}{kr_b^2} \text{ if } \frac{kr_b}{\gamma} \gg 1 \\ &= \frac{ik}{\gamma^2} \left( 1 + 2 \ln \frac{\gamma}{r_b k} \right) \text{ if } \frac{kr_b}{\gamma} \ll 1 \end{aligned}$$



- At low energies inside the photoinjector, space charge oscillation dynamics (see J. Wu's talk)
- At higher linacs energy, beam density modulation freezes and energy modulation accumulates, dominant contribution at very high frequencies

# Microbunching Gain



- Gain due to upstream impedances (LSC, linac wake)

$$G \equiv \left| \frac{b_f}{b_0} \right|$$

$$= \frac{I_0}{\gamma I_A} |k_f R_{56} \int_0^L ds Z(k_0; s)| \exp \left( -\frac{1}{2} k_f^2 R_{56}^2 \sigma_\delta^2 \right)$$

- CSR microbunching

$$b_f(k; s) = b_0(k; s) + \underbrace{\int_0^s ds' K(s', s) b_0(k'; s')}_{\text{one - stage amplification}}$$

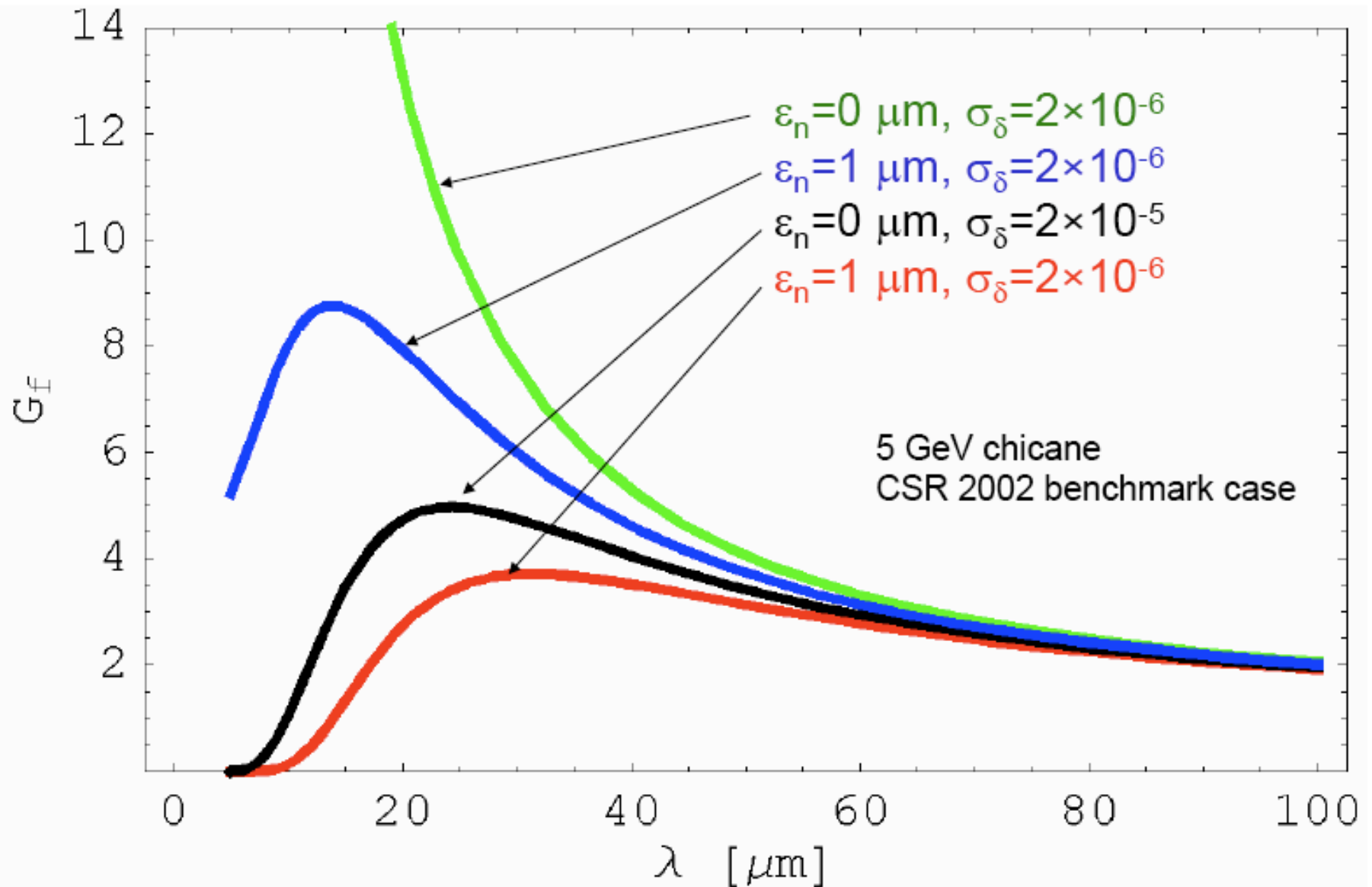
local energy spread

$$\underbrace{I_f(1 \rightarrow 3) + I_f(2 \rightarrow 3)}_{\text{two - stage amplification}}$$

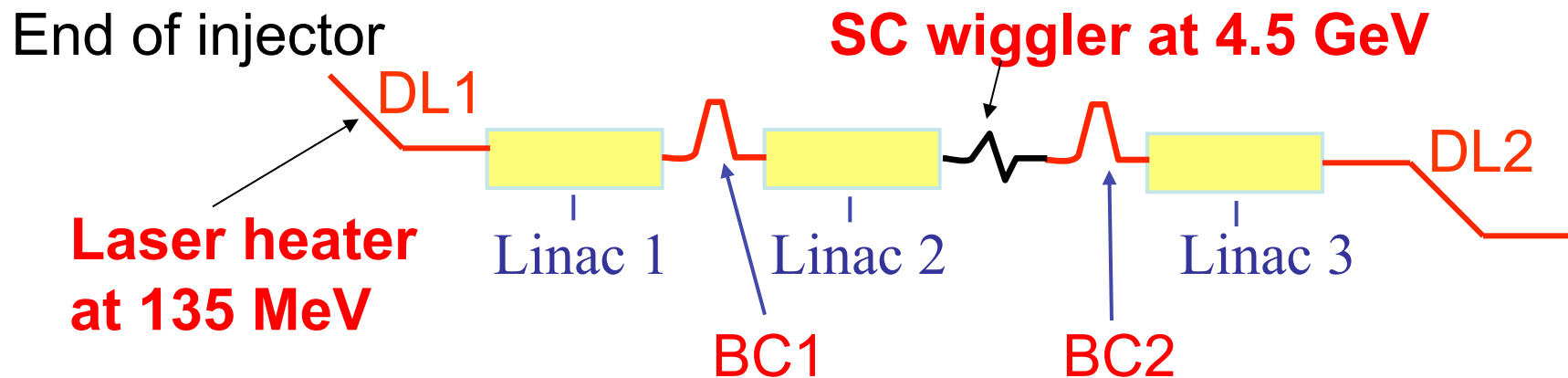
$$+ \underbrace{\int_0^s ds' K(s', s) \int_0^{s'} ds'' K(s'', s') b_0(k''; s'')}_{\text{two - stage amplification}}$$

$$I_f^2(1 \rightarrow 2 \rightarrow 3)$$

# Gain Curves



# LCLS Accelerator Systems



- At the end of injector, e-beam carries some residual density modulations which can be amplified in the downstream accel.
- Sources of impedance: CSR in dipoles, longitudinal space charge (LSC) and linac wakefields in linacs
- Landau damping options: a SC wiggler before BC2 at 4.5 GeV or a laser heater before DL1 at 135 MeV

# Allowable Laser Heating



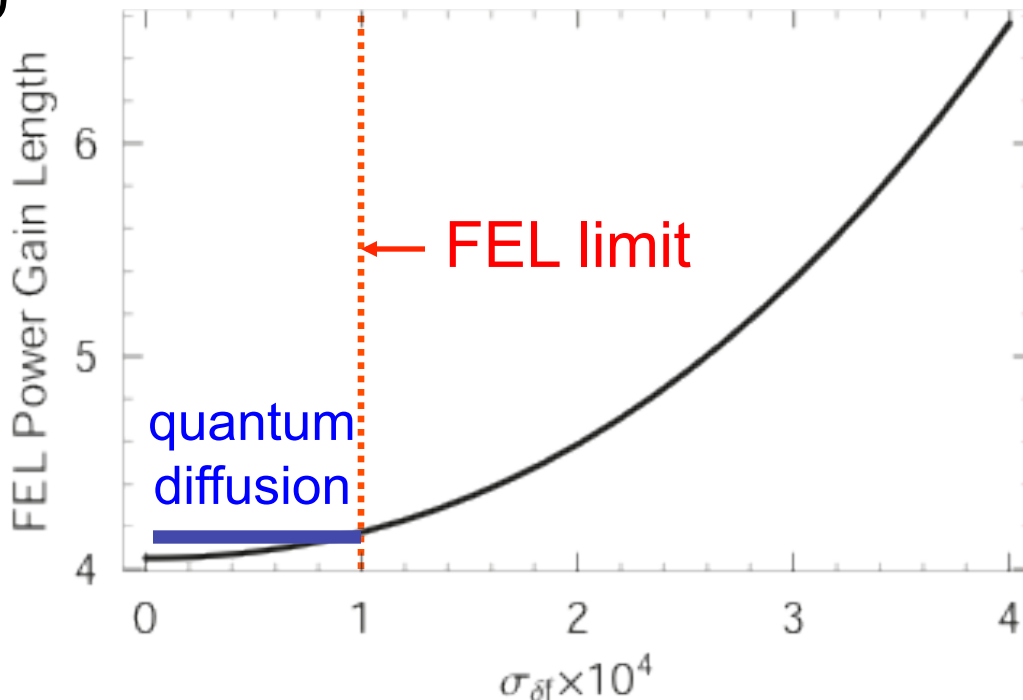
- FEL parameter  $r \sim 5 \times 10^{-4}$ , not sensitive to energy spread until  $s_d \sim 1 \times 10^{-4}$

M. Xie's fitting formula

$$g_e = 1.2 \text{ mm}$$

$$I_p = 3.4 \text{ kA}$$

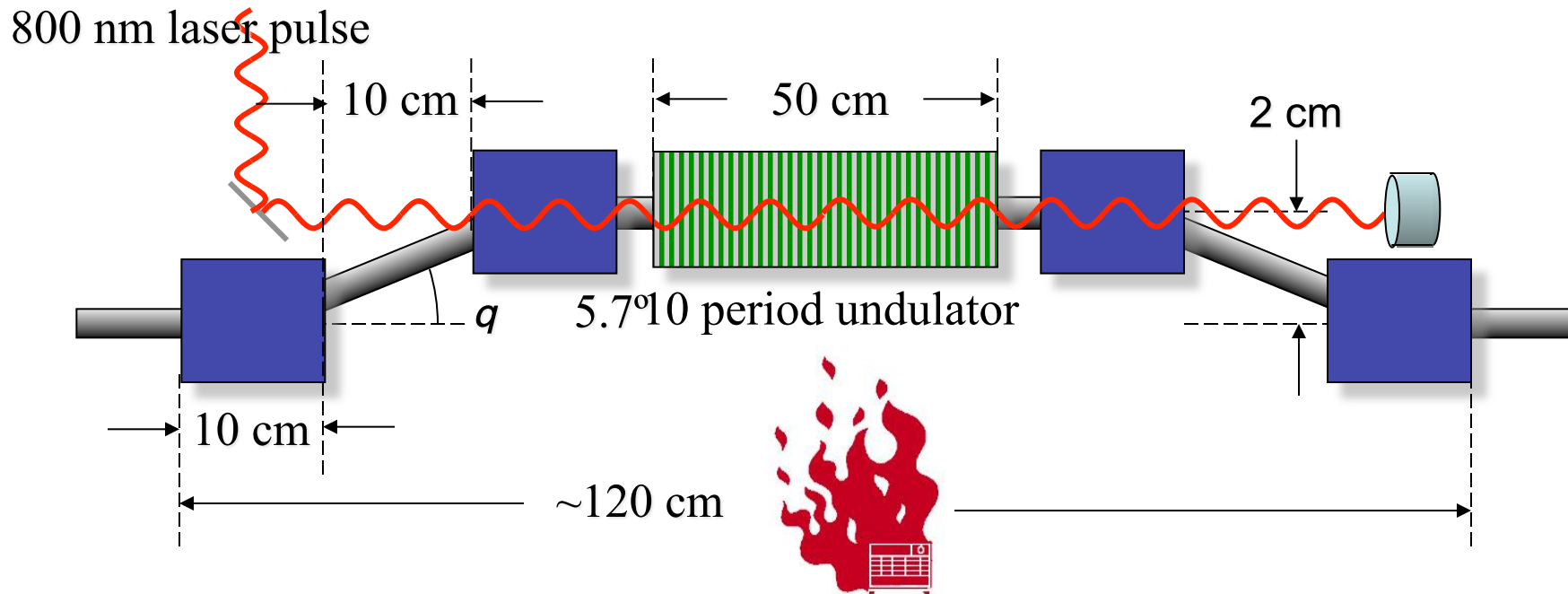
$$b = 20 \text{ m}$$



- 3 keV initial energy spread after compression = 90 keV, corresponding to  $s_d < 1 \times 10^{-5}$  at 14 GeV

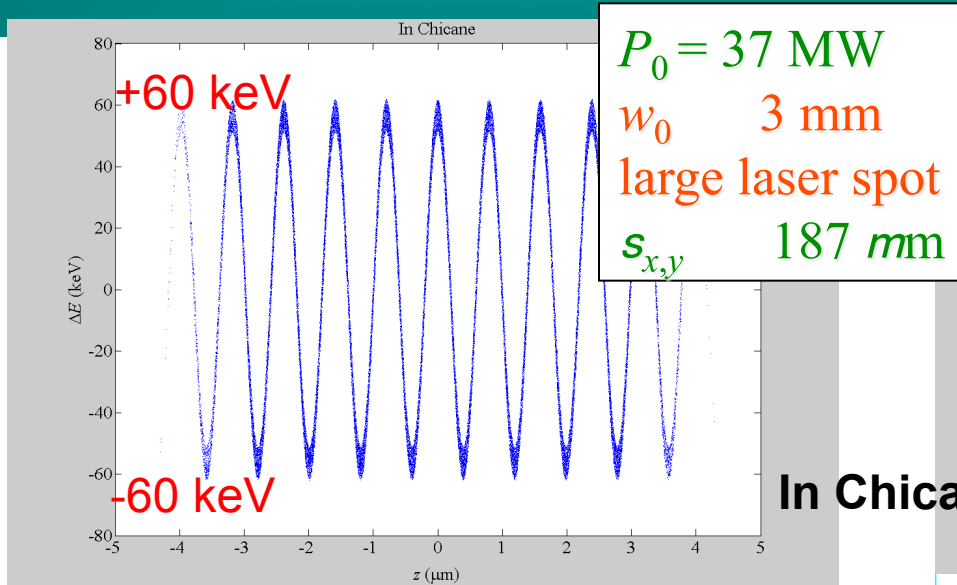
→ can increase  $s_d$  by a factor of 10 without FEL degradation

# Laser Heater

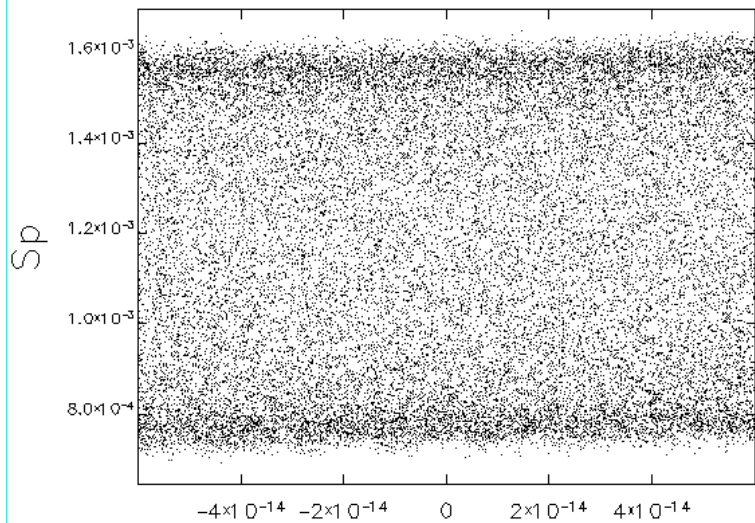
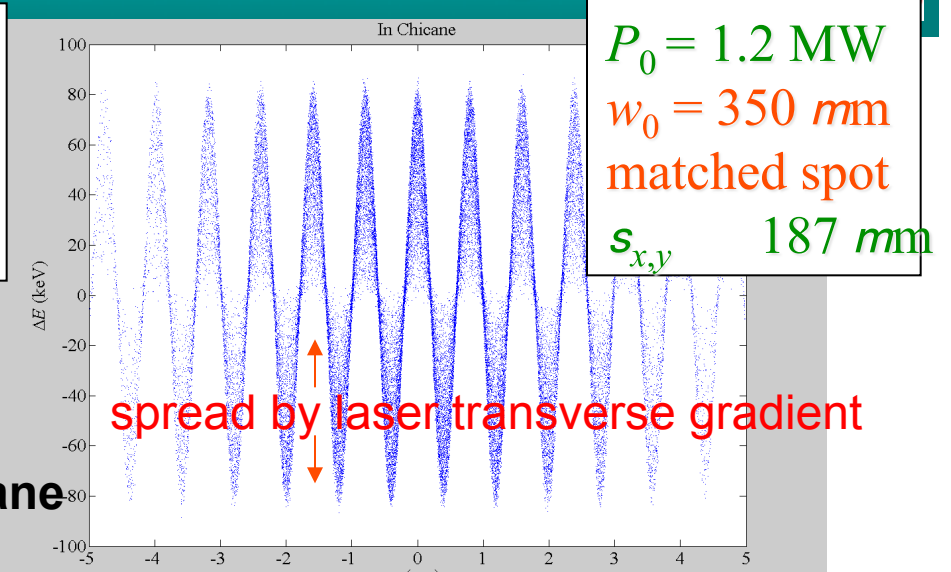


- Laser-electron interaction in an undulator induces rapid energy modulation (at 800 nm), to be used as effective energy spread before BC1 (3 keV  $\rightarrow$  40 keV rms)
- Inside a weak chicane for easy laser access, time-coordinate smearing (emittance growth is completely negligible)

# Simulation of Laser Heating

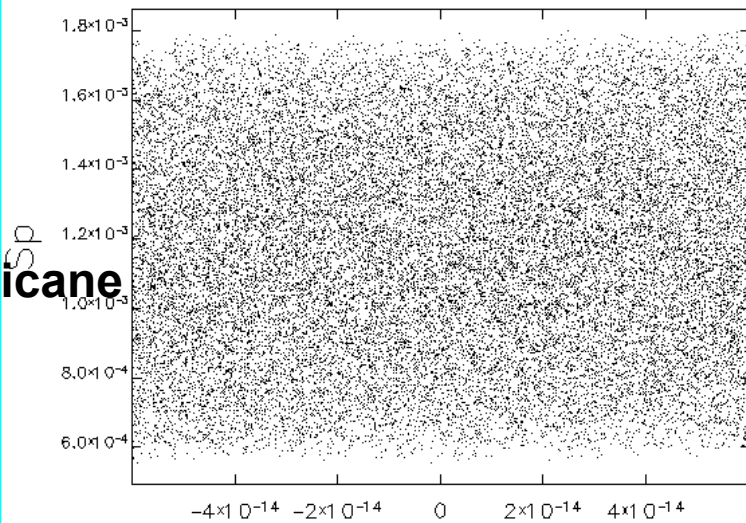


In Chicane



Non-uniform heating

After Chicane

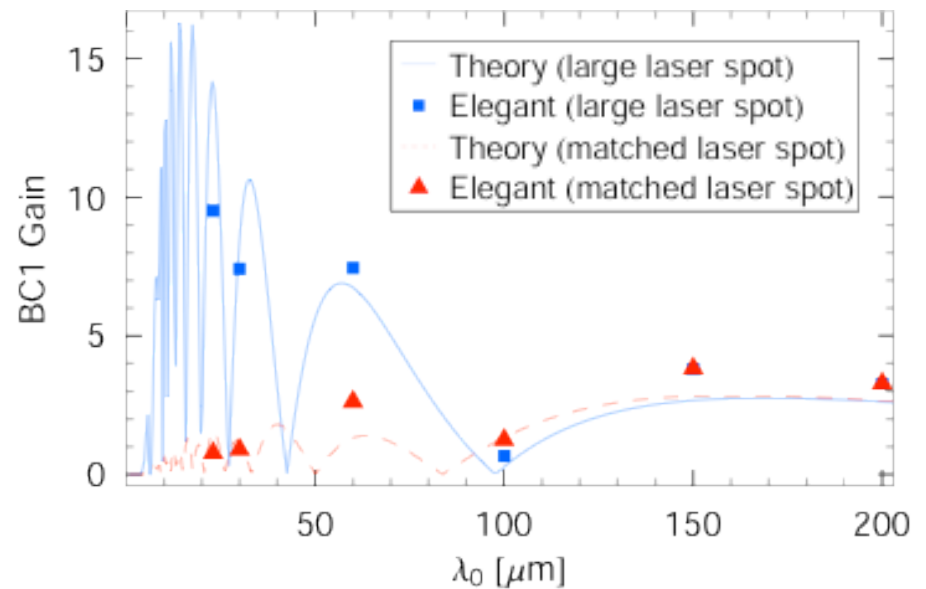
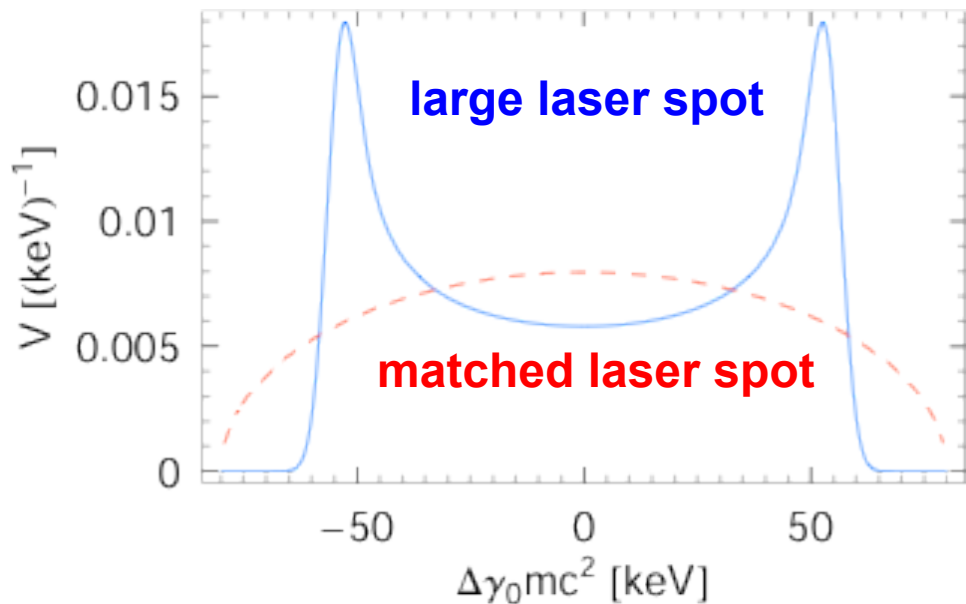


more uniform heating

# Dependence on laser spot size



- Large laser spot generates “double-horn” energy distribution, ineffective at suppressing short wavelength microbunching
- Laser spot matched to e-beam size creates better heating

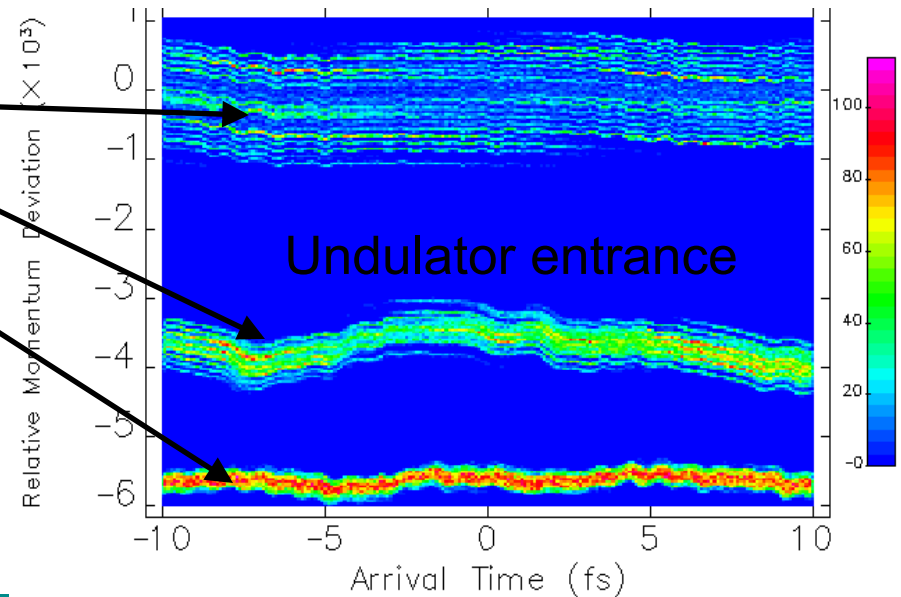
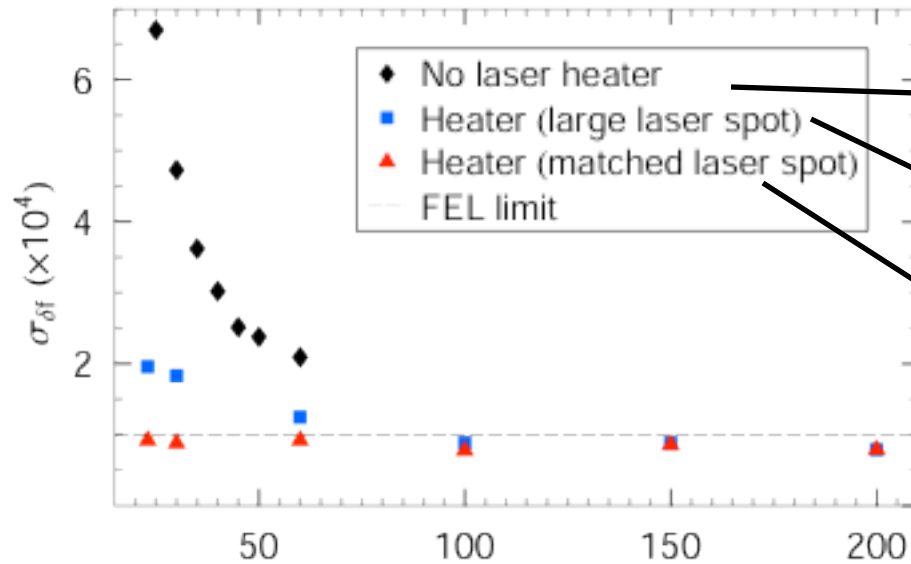
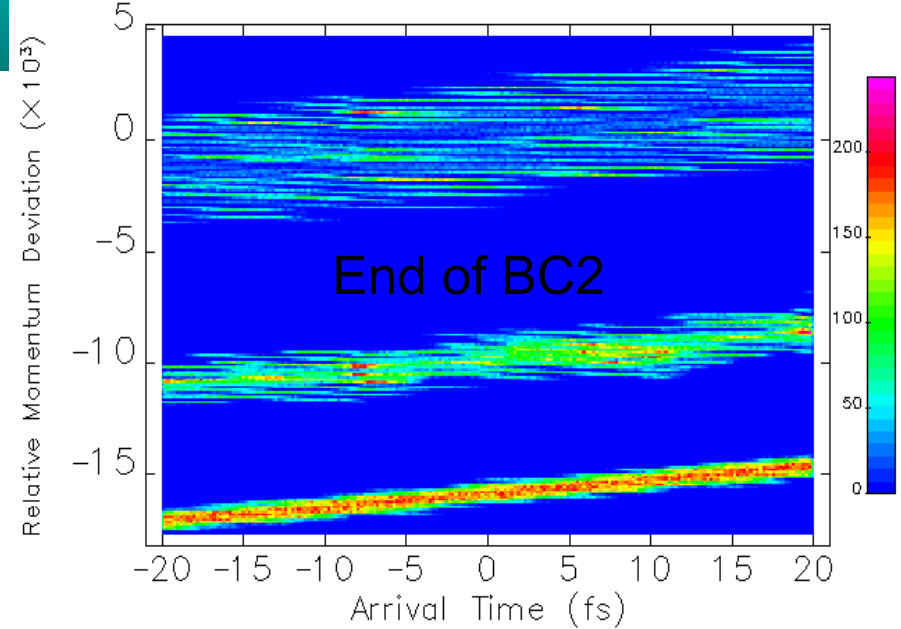
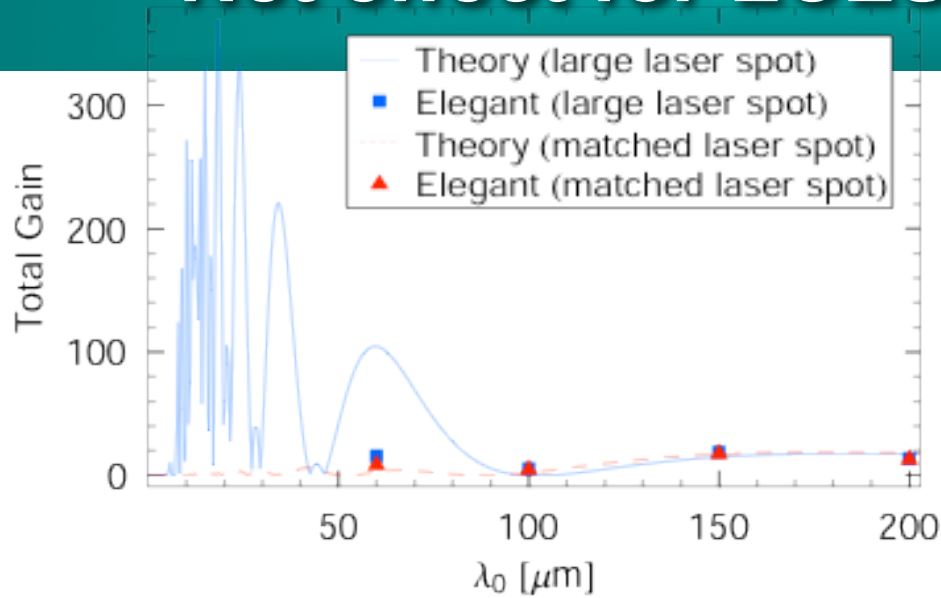


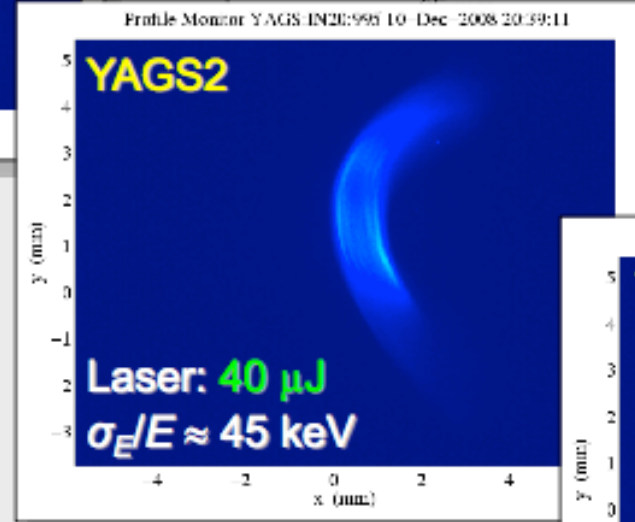
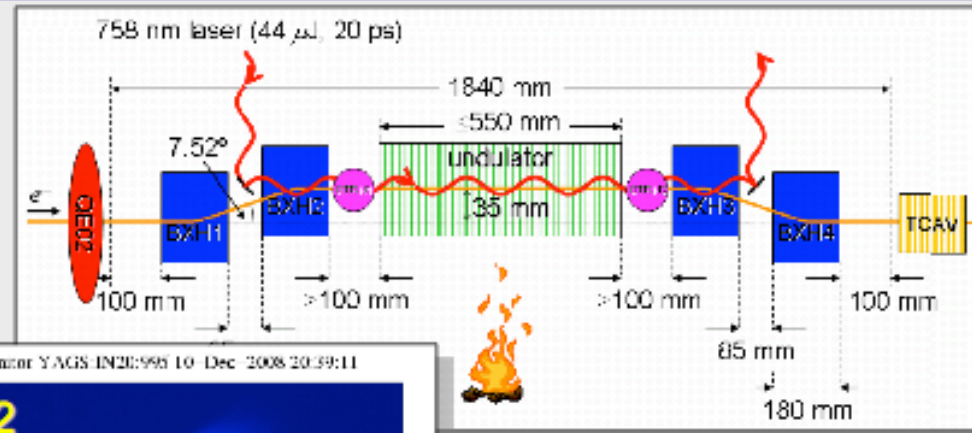
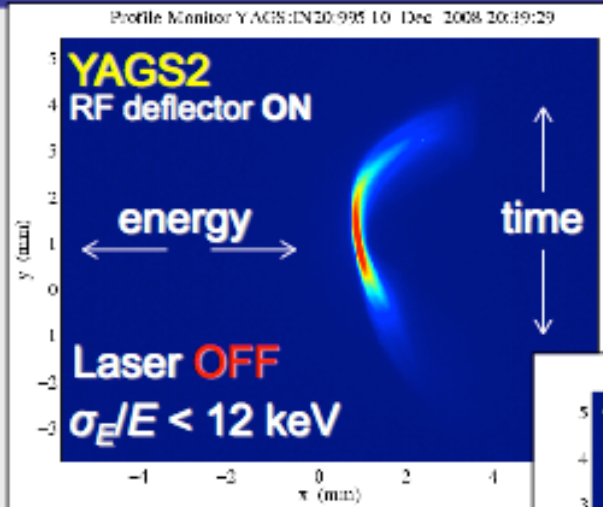
$$\frac{G_L}{G_0} = J_0(k_f R_{56} \delta_L) \sim (k_f R_{56} \delta_L)^{-1/2} \quad \text{when } \sigma_r \gg \sigma_x$$

$$\frac{G_L}{G_0} = 2 \frac{J_1(k_f R_{56} \delta_L)}{k_f R_{56} \delta_L} \sim (k_f R_{56} \delta_L)^{-3/2} \quad \text{when}$$

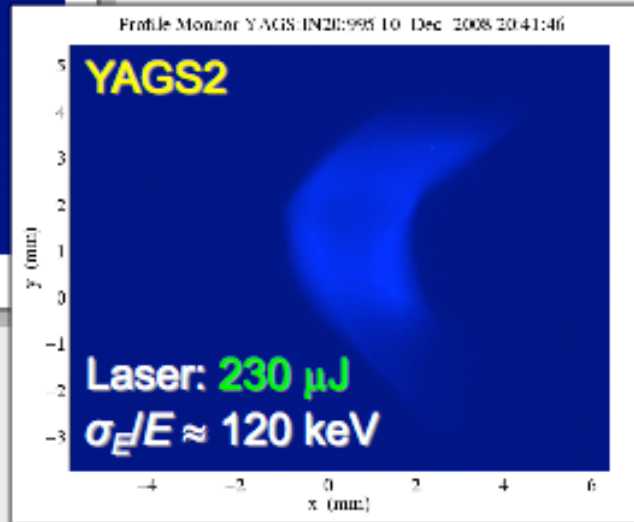


# Net effect for LCLS





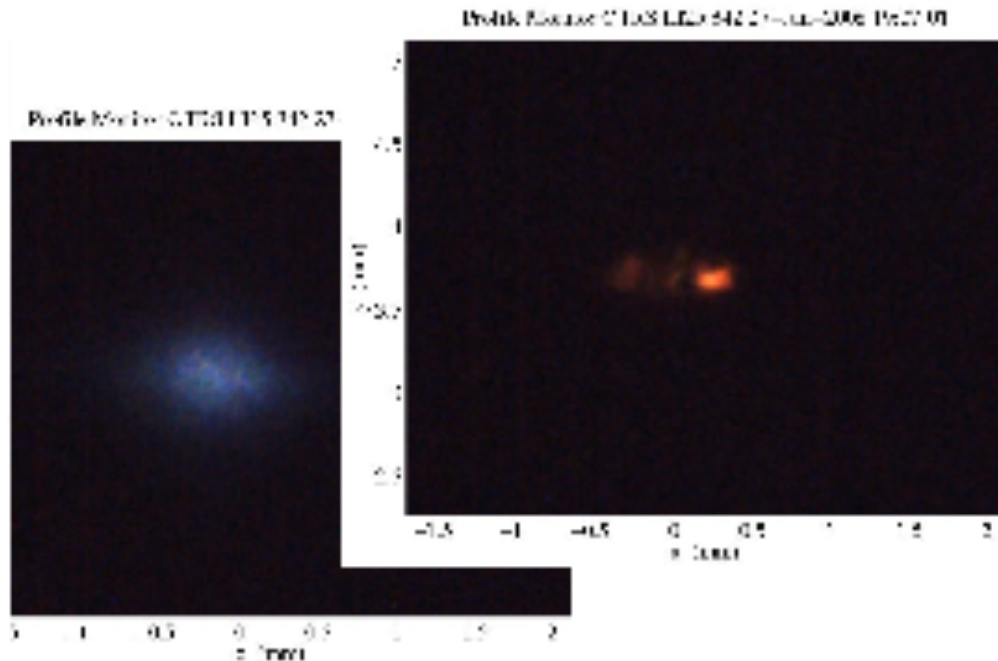
Dec. 10, 2008



Laser heater effect on slice energy spread can be studied

Timing between heater laser & electron beam adjusted

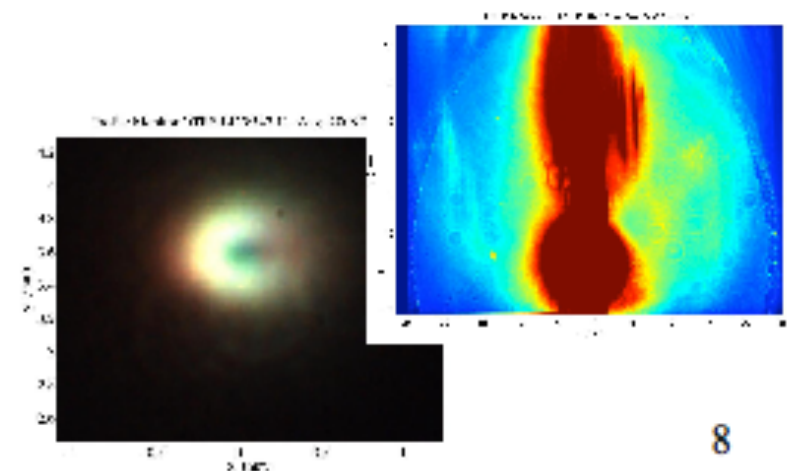
# COTR from Microbunching in LCLS: Measurements



10,000X enhanced COTR

Coherent OTR light does not appear to have the same transverse distribution as incoherent OTR.

COTR makes OTR screens useless above 250 MeV



# COTR from Microbunching in LCLS: Measurements

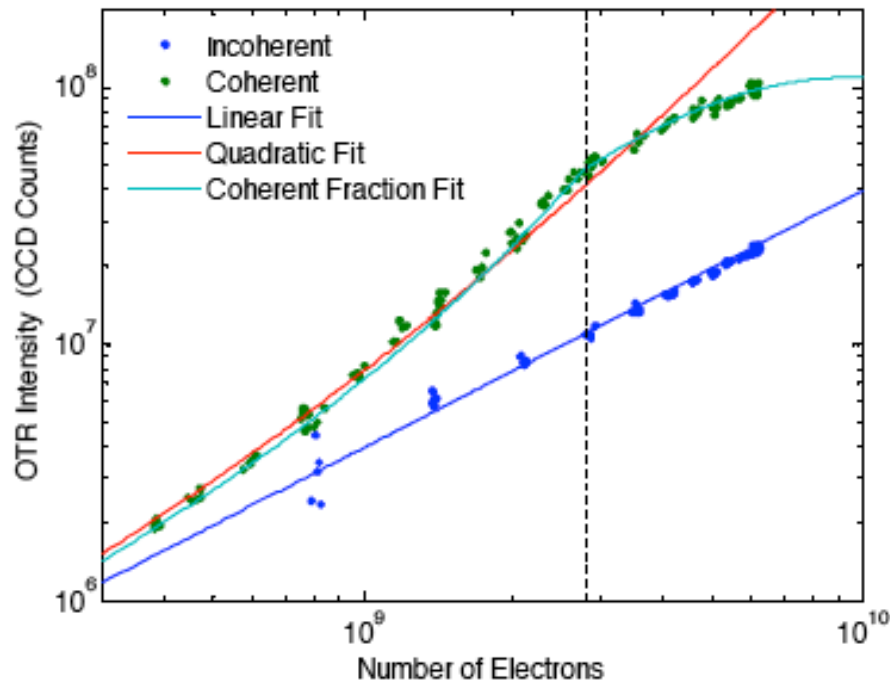


Figure 4: Dependency of OTR light intensity on the bunch charge. The data shown is for 250 MeV and uncompressed bunches. The coherent fraction fit uses the fit from Fig. 5.

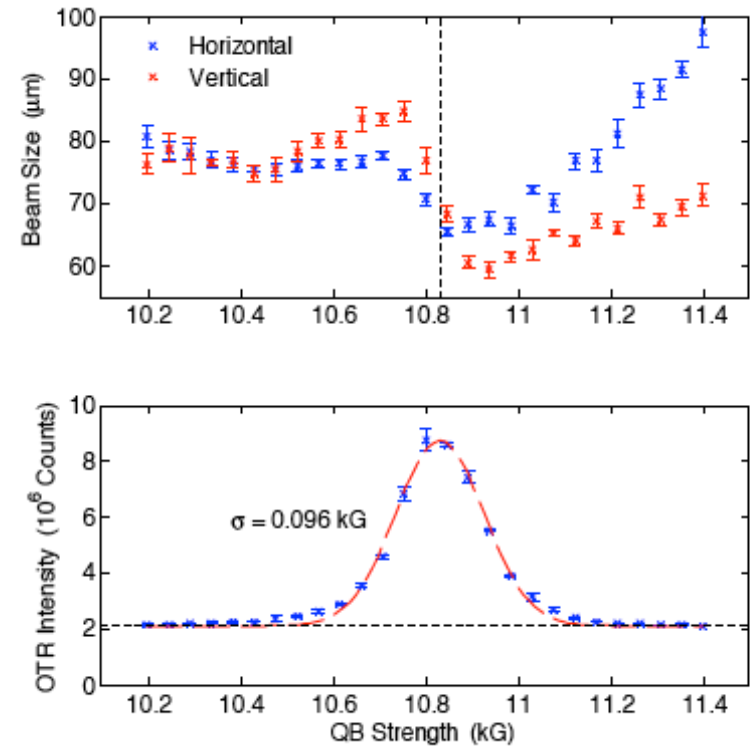
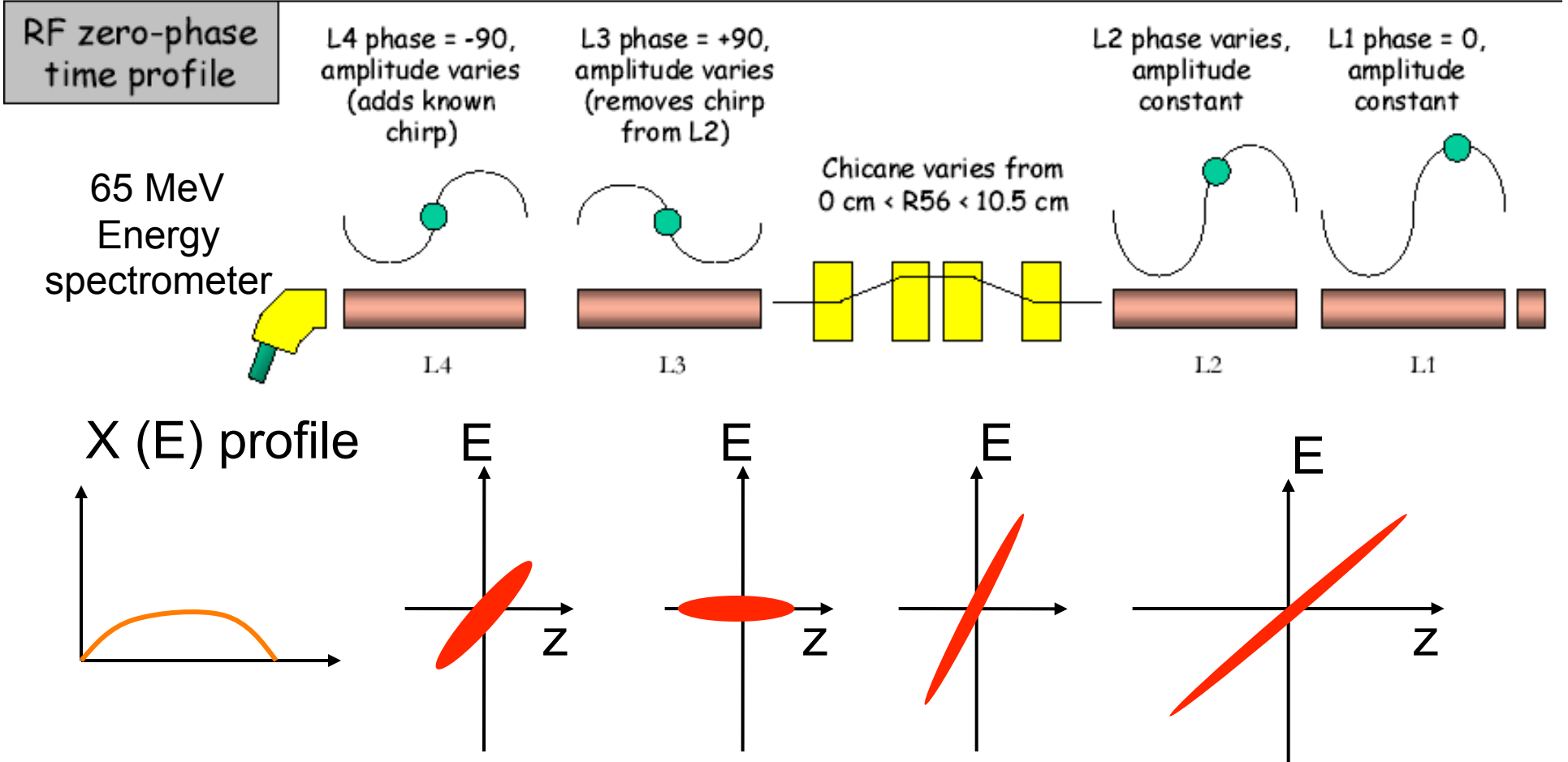
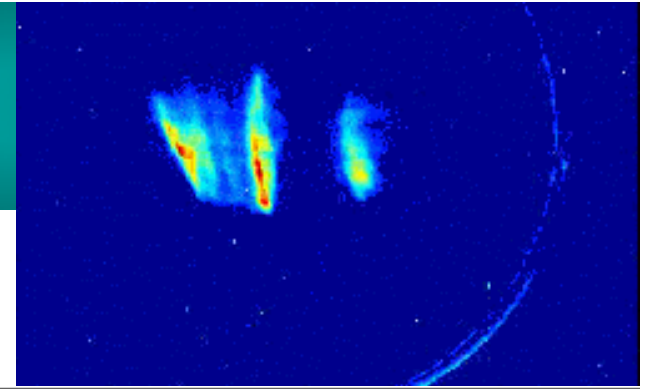


Figure 3: OTR intensity (lower) and beam sizes (upper part) measured downstream of BC1 at OTR12 as a function of the dispersion correction quadrupole (QB) in the first dogleg with no bunch compression. The vertical line indicates the peak of the OTR intensity curve.

# SDL zero-phasing observation

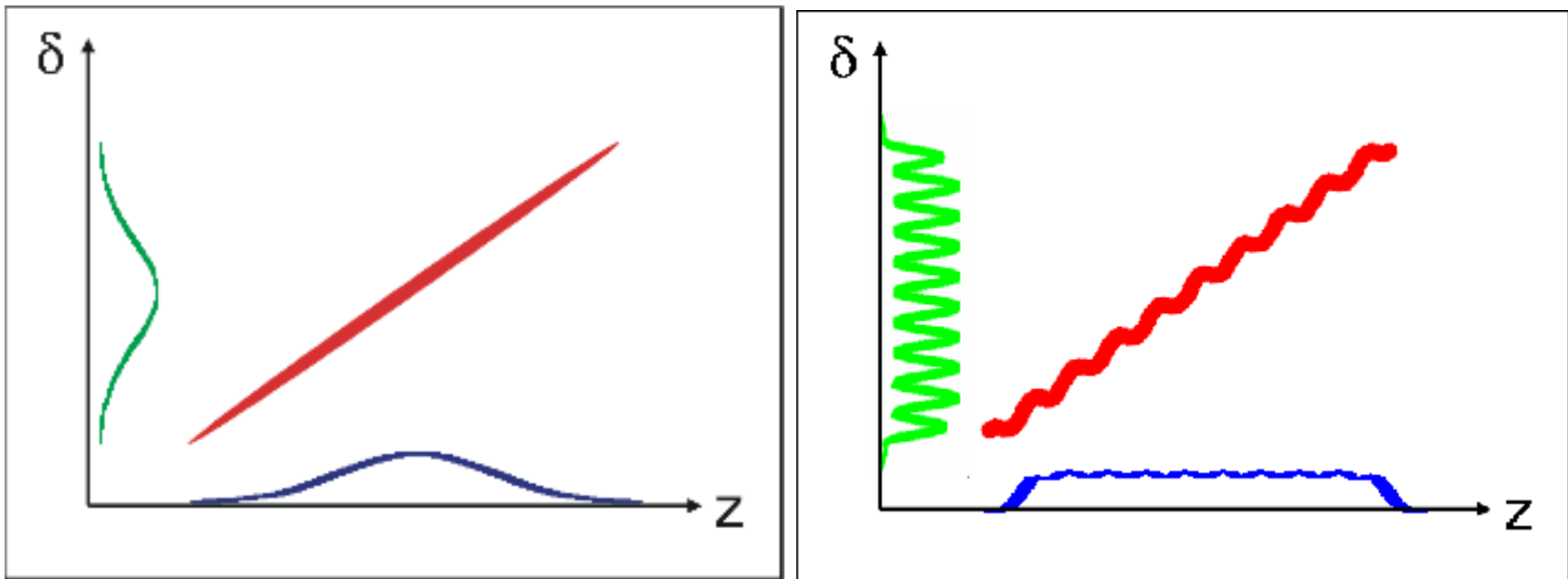
(W. Graves et al.)



# Sensitive to energy modulation



- rf zero phasing energy spectrum is very sensitive to beam energy modulation

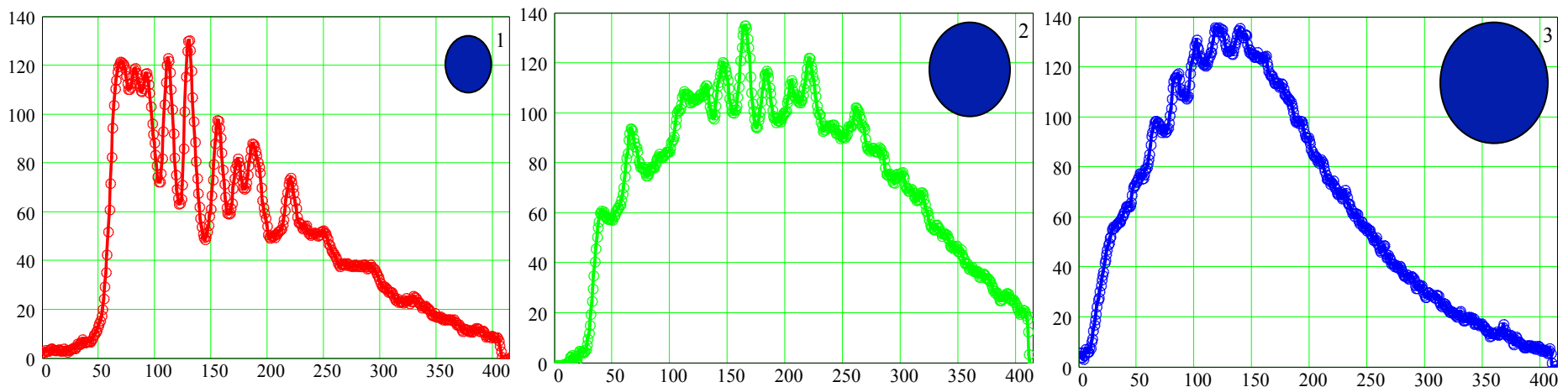


- Small energy modulation gets projected to large horizontal density modulation (enhanced by  $I_{rf}/I_m \sim 1000$ )
- Measurement can be used to reveal energy modulations

# Beam size dependence



- “Zero-phasing” profiles of the beam (300 pC) for different lattice solutions: (Shaftan et al.)



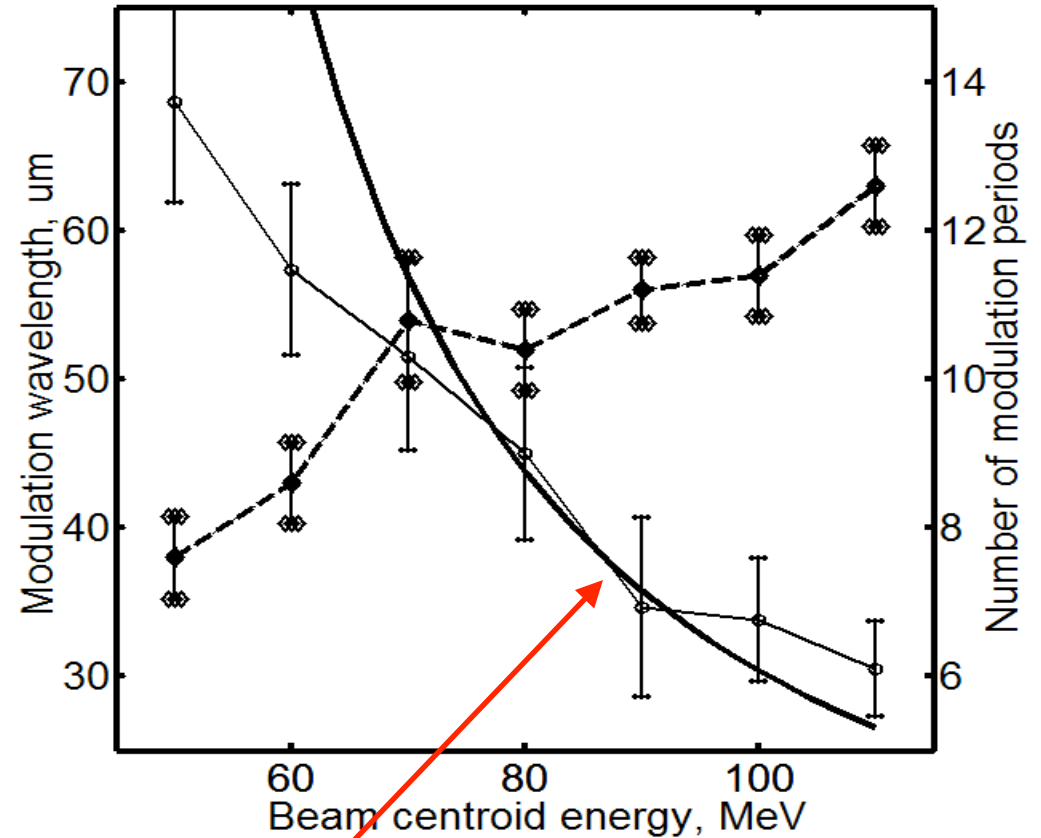
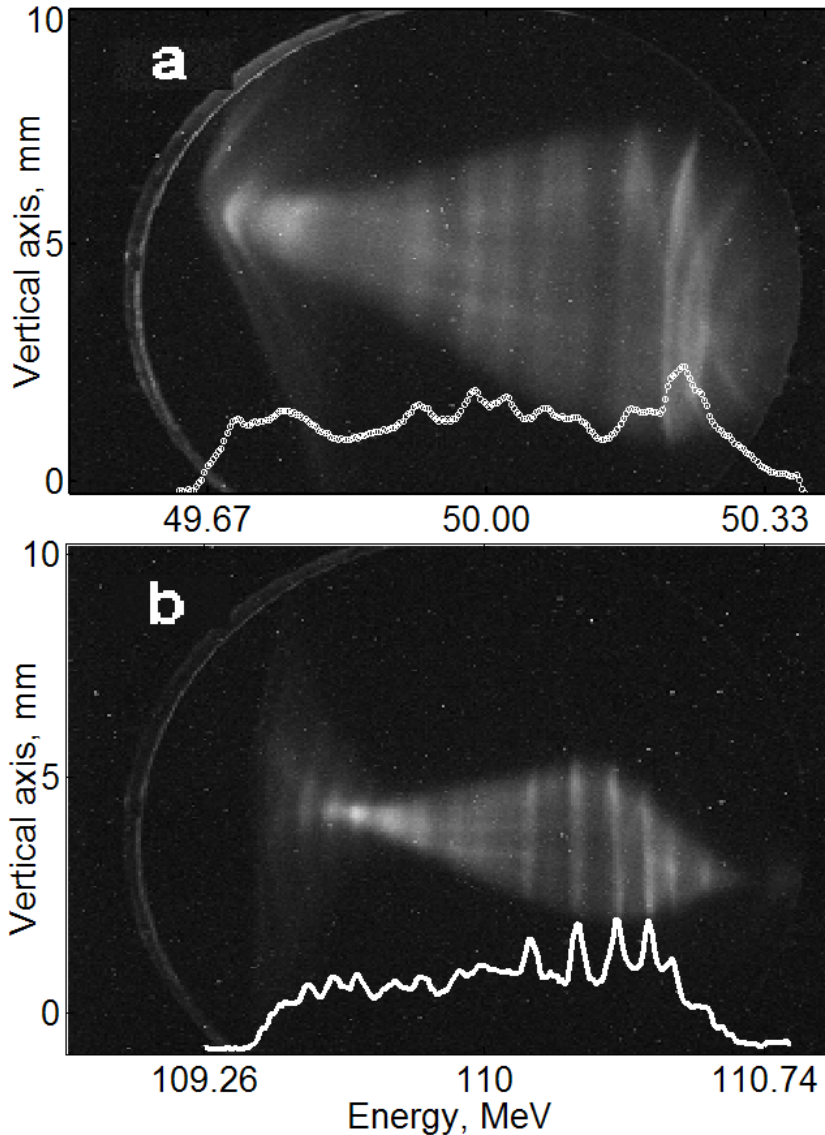
Average RMS beam sizes along the accelerator: **0.25 mm**, **0.5 mm**, **1 mm**

- Model: energy modulation induced by LSC (depend on  $r_b$ )

$$\left[ \frac{\gamma I_0}{I_A} \frac{\lambda Z_{LSC} (r_b \lambda)}{2\pi} \right]^{1/2} b_i \sin\left( \frac{\Omega_{SC} L}{c} \right) \quad \text{SC oscillation freq.}$$

$$\Omega_{SC} = c \sqrt{\frac{2\pi I_0 |Z_{LSC}|}{\gamma^3 I_A \lambda}}$$

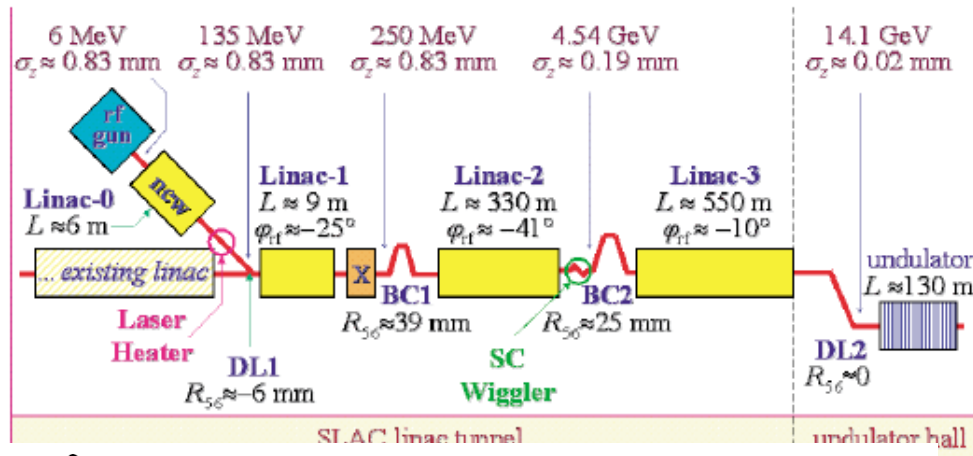
# Modulation wavelength



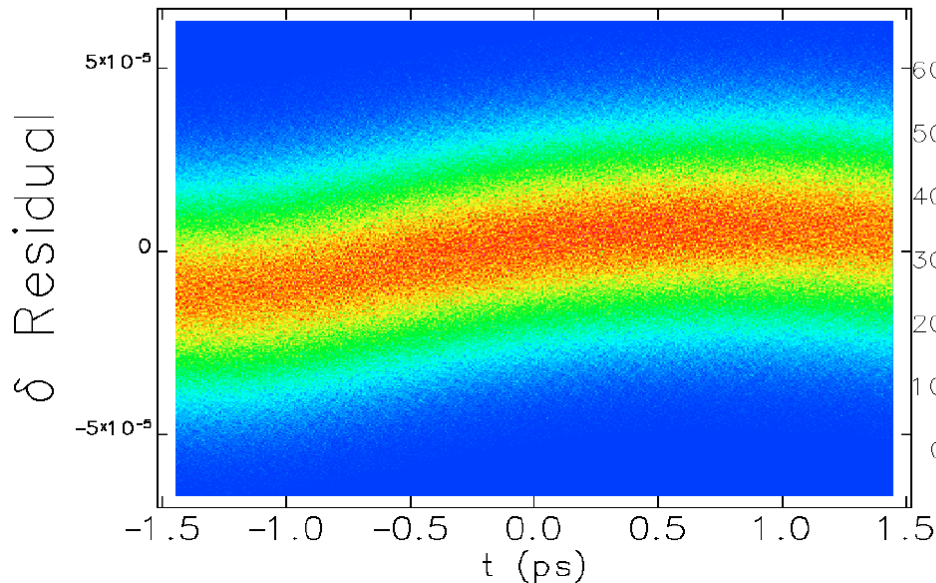
Wavelengths correspond to calculated maximum energy modulation



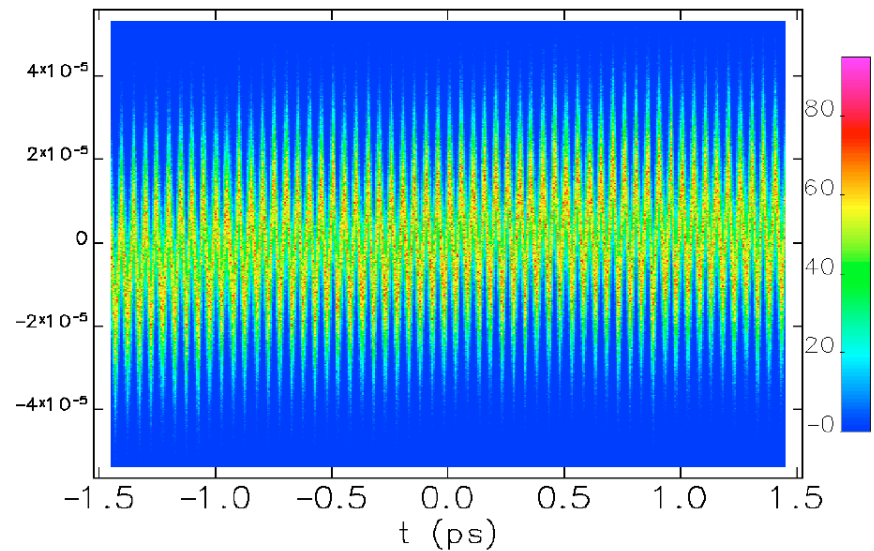
# Elegant Simulation of MBI



$\lambda=15\mu\text{m}$ : Initial Distribution



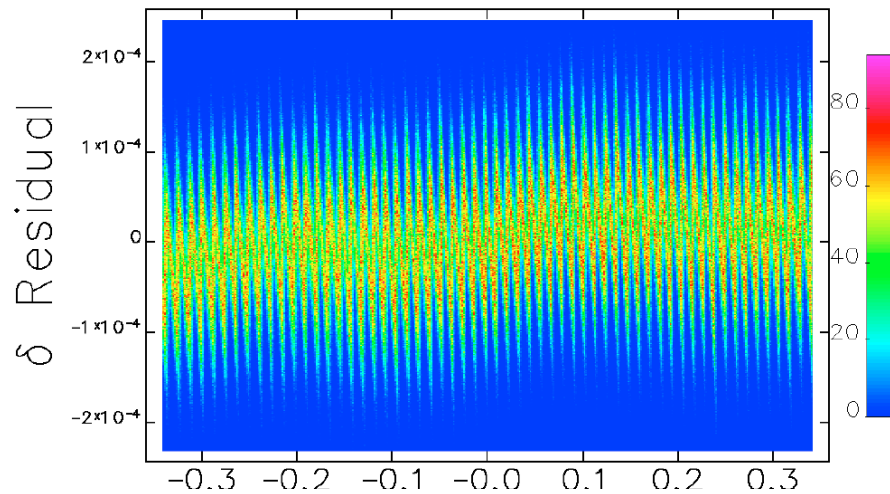
$\lambda=15\mu\text{m}$ : BC1 Entrance



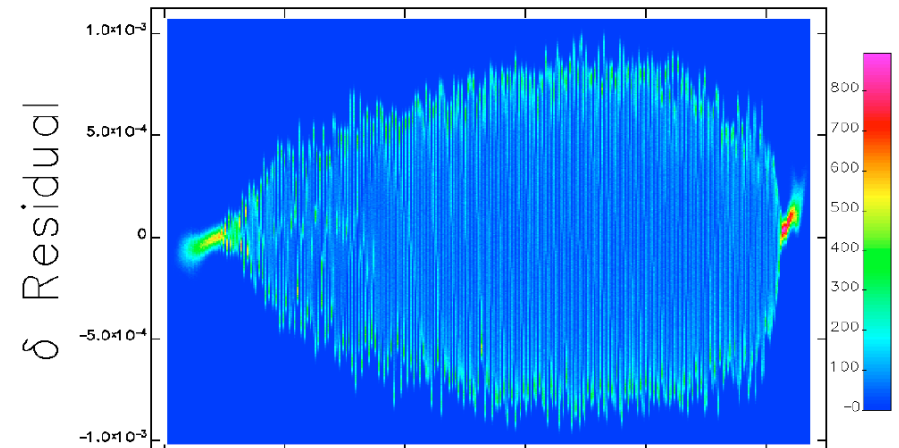
# Elegant Simulation of MBI



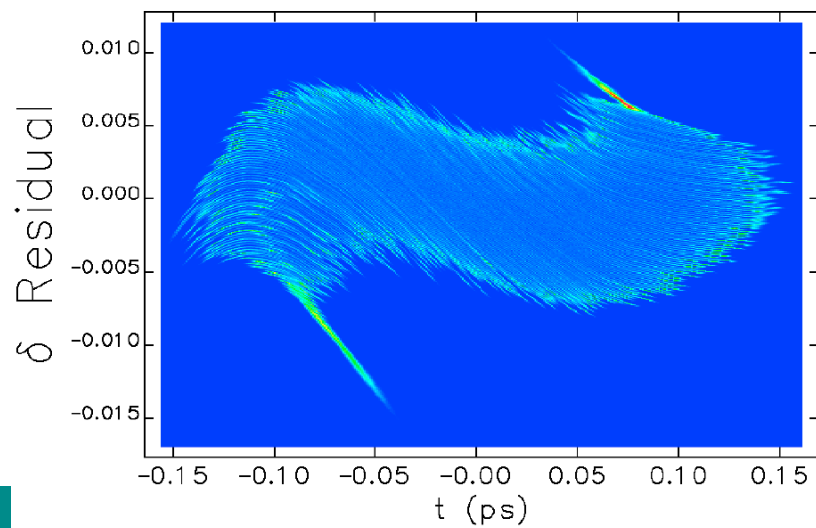
$\lambda=15\mu\text{m}$ : BC1 Exit



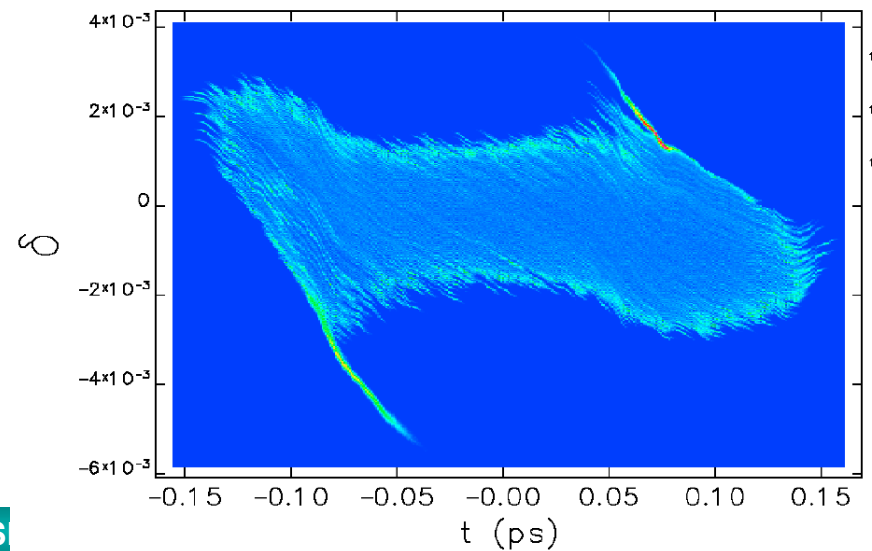
$\lambda=15\mu\text{m}$ : BC2 Entrance



$\lambda=15\mu\text{m}$ : BC2 Exit



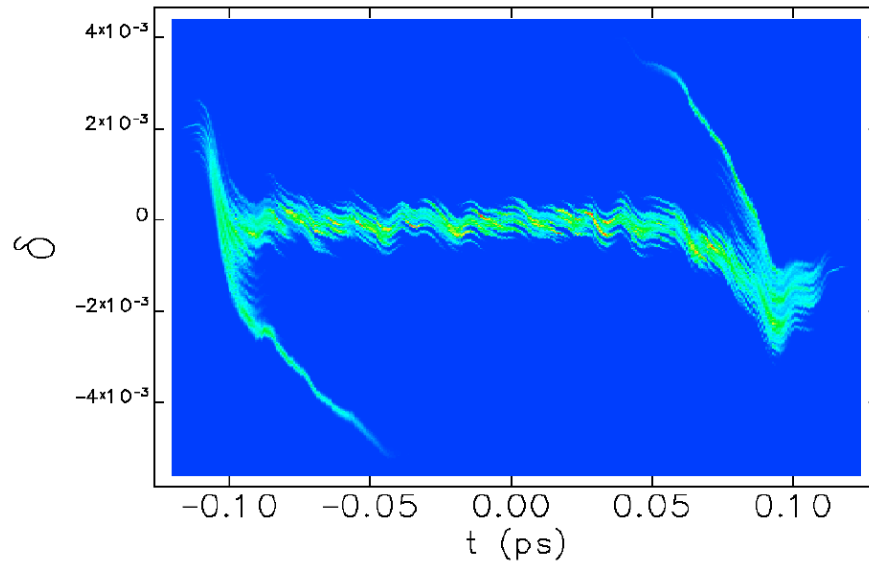
$\lambda=15\mu\text{m}$ : DL2 Exit



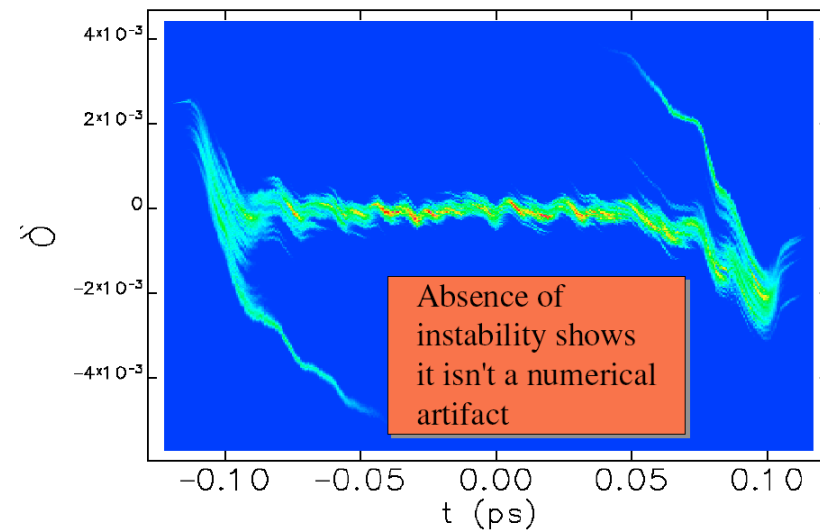
# Elegant Simulation of MBI



$\lambda=60\mu\text{m}$ : DL2 Exit



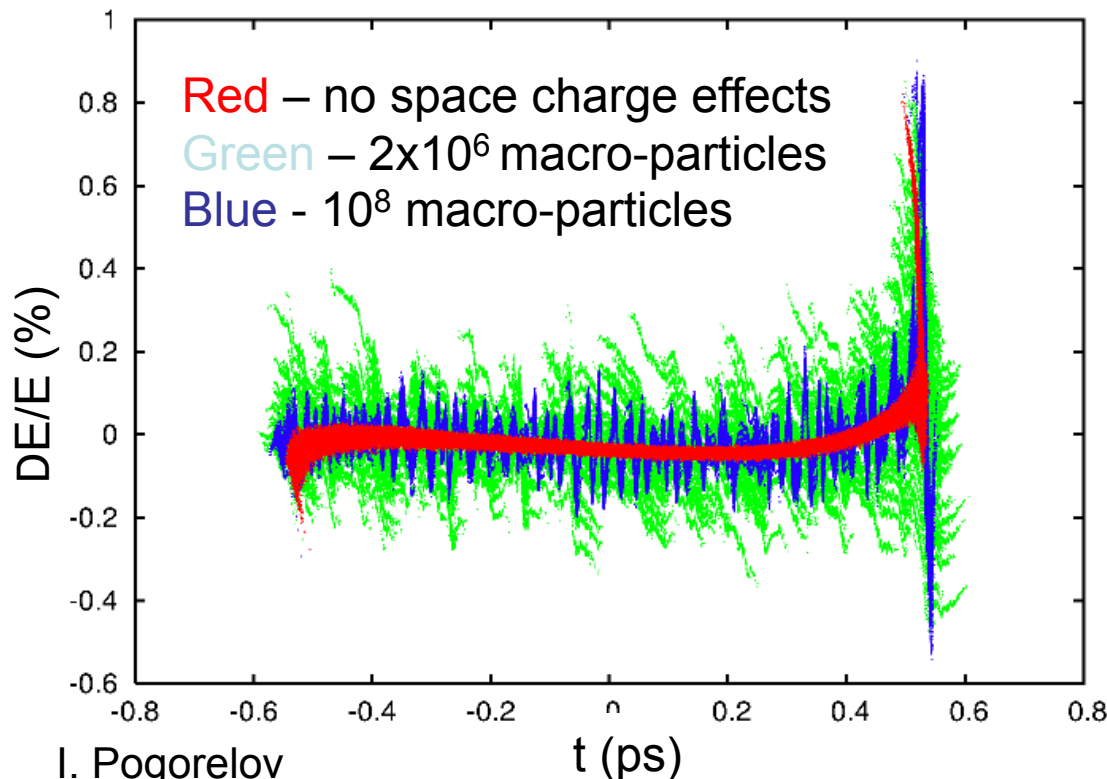
$\lambda=200\mu\text{m}$ : DL2 Exit





# Further developments on simulation of MBI

Starts from a shot noise, produces chaotic variations to the energy and peak current, incredibly difficult to model



simulated with **IMPACT**

- 1) Particle loading:  
global fitting, re-sampling in 6D, quiet start
- 2) Grid selection:  
grid noise, resolving “hidden” correlations, minimize computational time
- 2) Lumped space-charge and wakefield kicks to speed up simulation

J. Qiang, W. Fawley, M. Venturini

Revealing “true” physics over the numerical noise

# Summary of single pass effects



- Microbunching instability driven by LSC, CSR and machine impedance can be a “nightmare” for x-ray FELs
- The photoinjector beam is too “cold” in energy spread, “heating” within the FEL tolerance ( $\sim 10X$ ) can damp the instability without affecting the FEL gain
- A laser heater with a laser spot matched to the transverse e-beam size can effectively suppress microbunching
- SDL “microbunching” is dominated by LSC-induced energy modulation in the linac
- With a stronger dispersion section, the modulator can be used as a laser heater to suppress the microbunching gain

# Compare Linac and Ring MBI

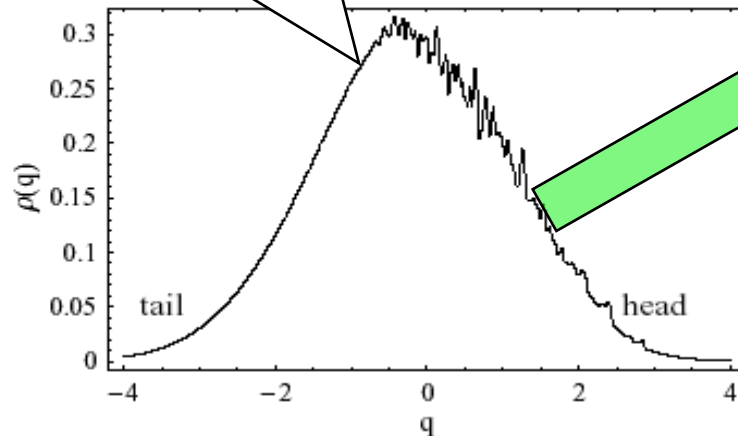


- CSR interaction and physics identical to single pass MBI. Space charge typically insignificant for rings.
- Storage ring peak currents typically much smaller (1 nC/30 psec)
- Storage have longitudinal oscillations (synchrotron) which tend to mix instabilities
- Effective arc length in rings  $\gg \gg$  than single pass.

# CSR MBI



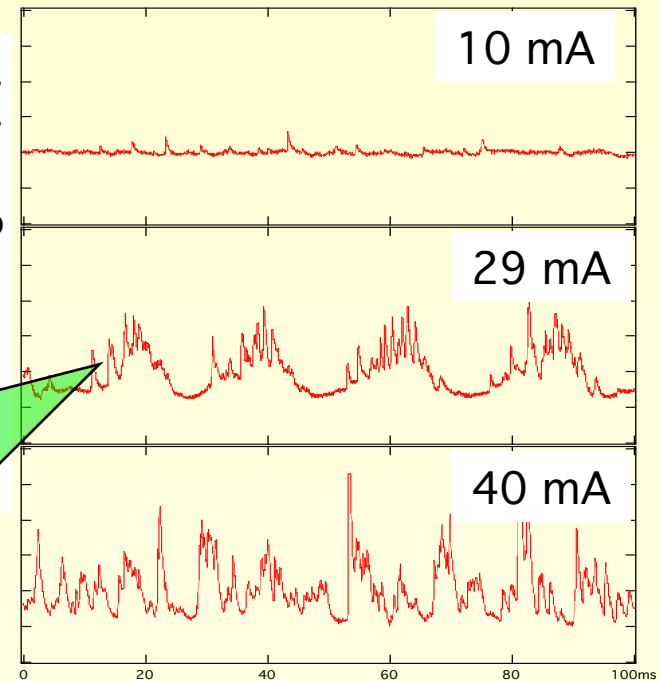
CSR can drive a microbunching instability in the electron bunch, resulting in a periodic bursts of terahertz synchrotron radiation, resulting in a noisy source.



Simulated instability showing bunch modulation

M. Venturini, R. Warnock, PRL **89**, 224802, (2002).

Bolometer signal (V)



Time (msec)

Bursts of far-IR CSR observed on a bolometer. Threshold depends on beam energy, bunch length, energy spread, and wavelength.

# Linear Stability Analysis



Instability theory is well-developed for synchrotrons.  
Use linearized Vlasov approach to calculate instability threshold using radiation impedance. Accounts for damping from energy spread (Landau damping)

- Linearized Vlasov equation comes from conservation of phase space density
  - does not include damping mechanism (radiation damping)
  - does not include noise excitation (quantum excitation)

$$\frac{\partial f_1}{\partial t} + p \frac{\partial f_1}{\partial q} + I\omega_0 \frac{\partial f_0}{\partial p} \times \frac{1}{2\pi} \sum_{n=-\infty}^{\infty} Z(n) e^{iq\sigma_z/R} \int e^{-iq\sigma_z/R} \rho_1(q) dq = 0.$$

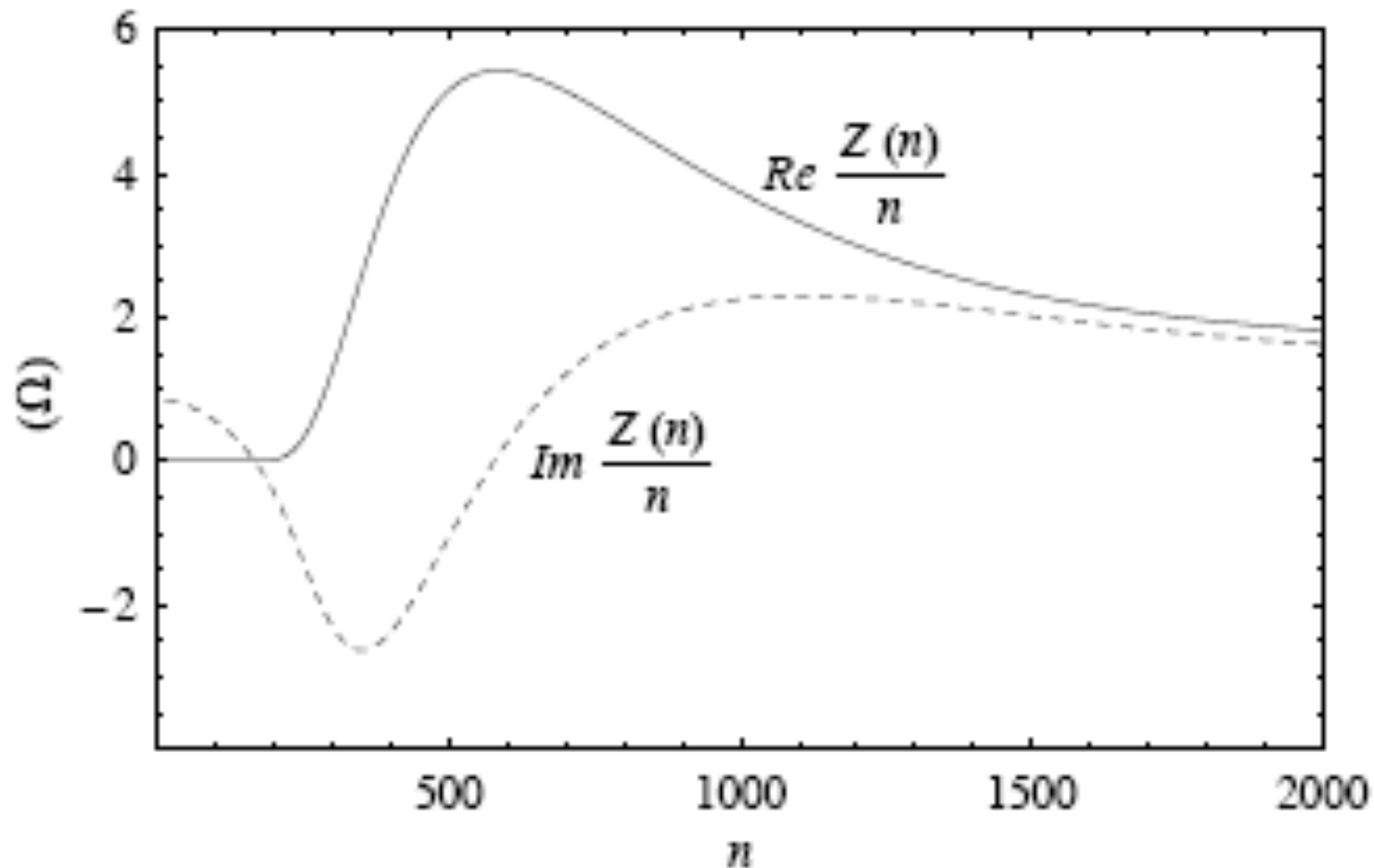
Assume a single mode excitation

$$f_1 = \hat{f}_1(p) \exp[-i(\nu\theta - nq\sigma_{z0}/R)]$$

$$iI\omega_0 \frac{Z(n)}{n} \left(\frac{R}{\sigma_z}\right)^2 \int_{-\infty}^{\infty} \frac{\partial f_0 / \partial p}{p - \nu R / \sigma_z n} dp = 1,$$



# Radiation Impedance with cutoff

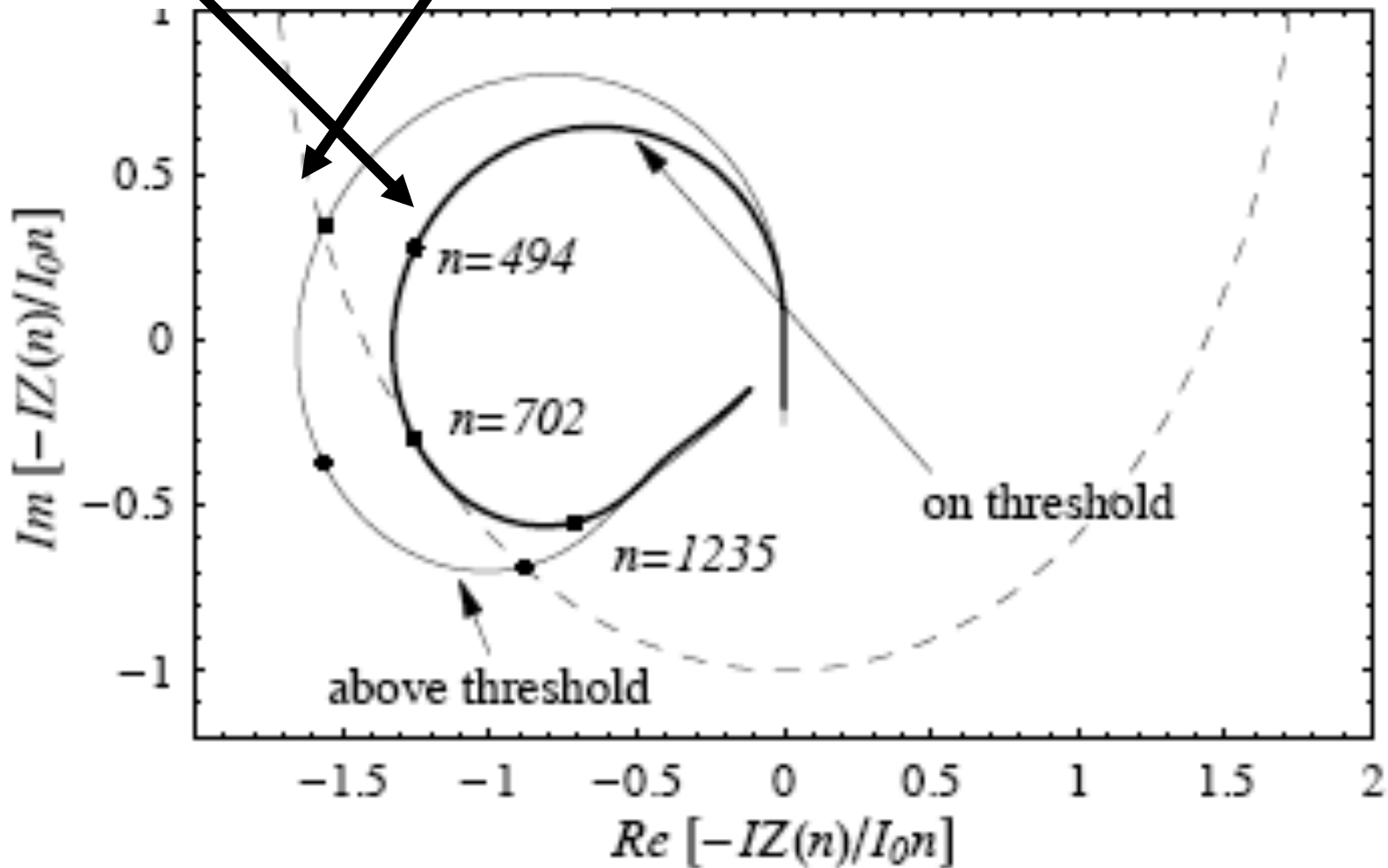


# Stability Criterion



$$-\frac{I\omega_0}{\sqrt{2\pi}} \left(\frac{R}{\sigma_z}\right)^2 \frac{Z(n)}{n} = -\frac{i}{W_D(\nu R/\sigma_z n)}$$

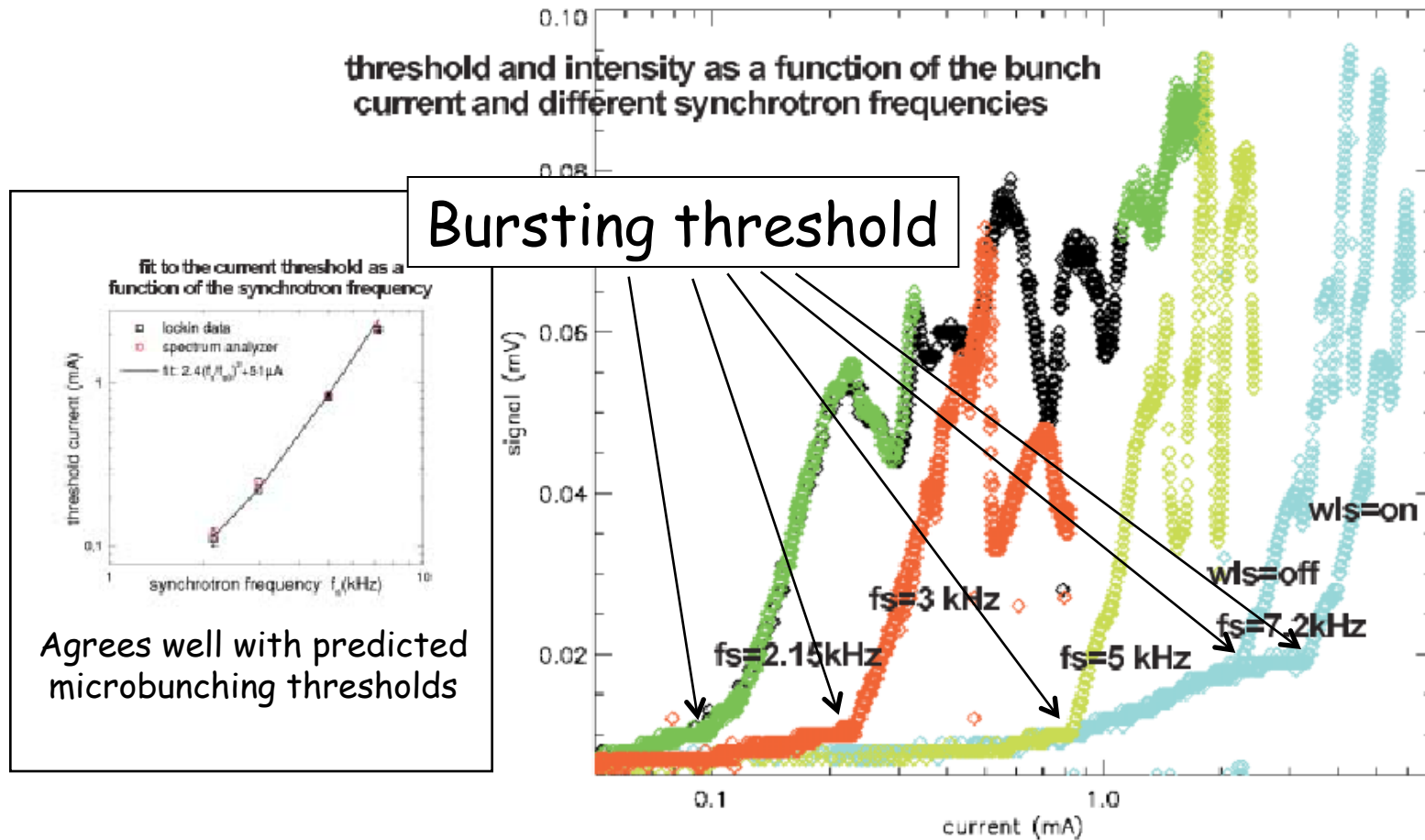
Yields stability threshold ( $\text{Im} \left[ \frac{Z(n)}{n} \right] > 0$ )



# Bessy-II Microbunching



Single bunch CSR-signal at 1.25 MHz and ~5Hz bandwidth

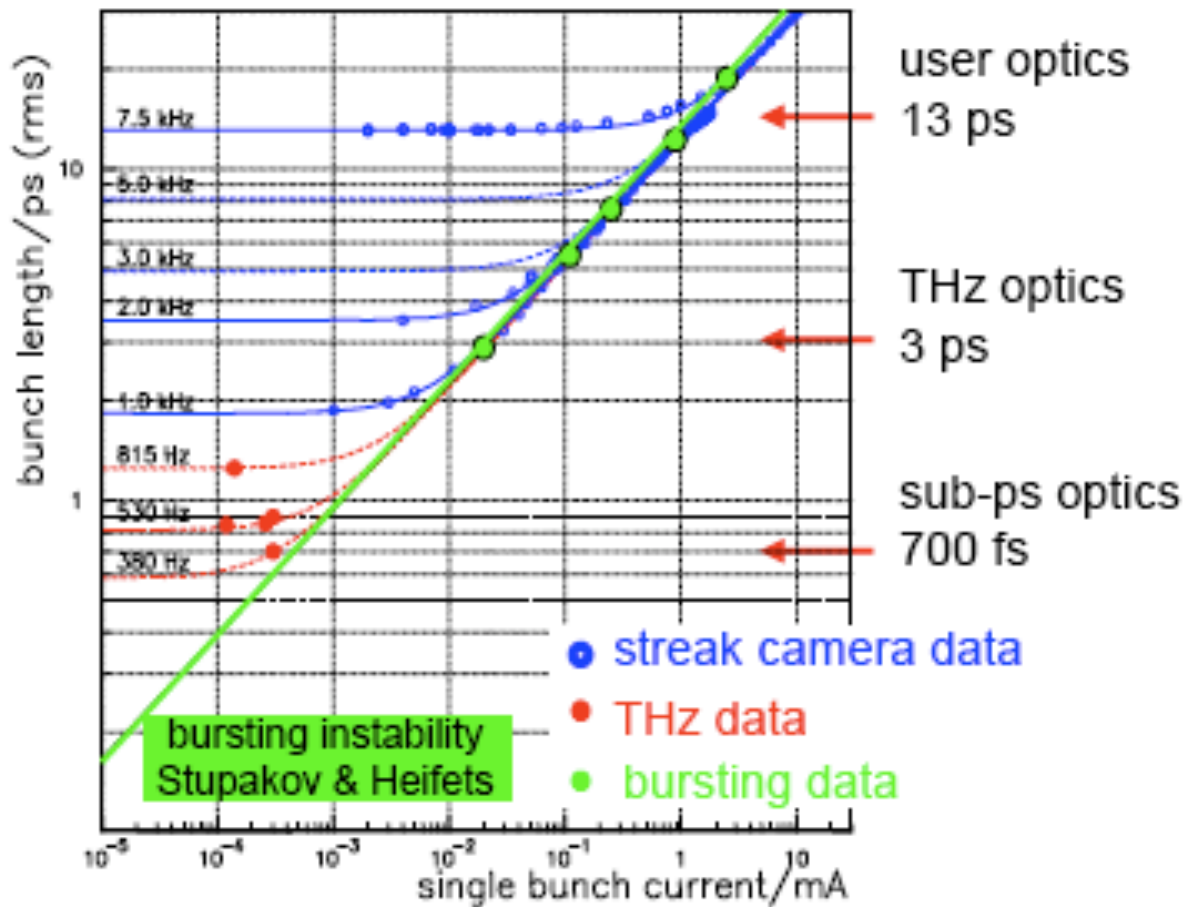


G. Wuestefeld, Napa CSR Workshop, Oct. 2002

# Compare with theory



bunch length - current relation

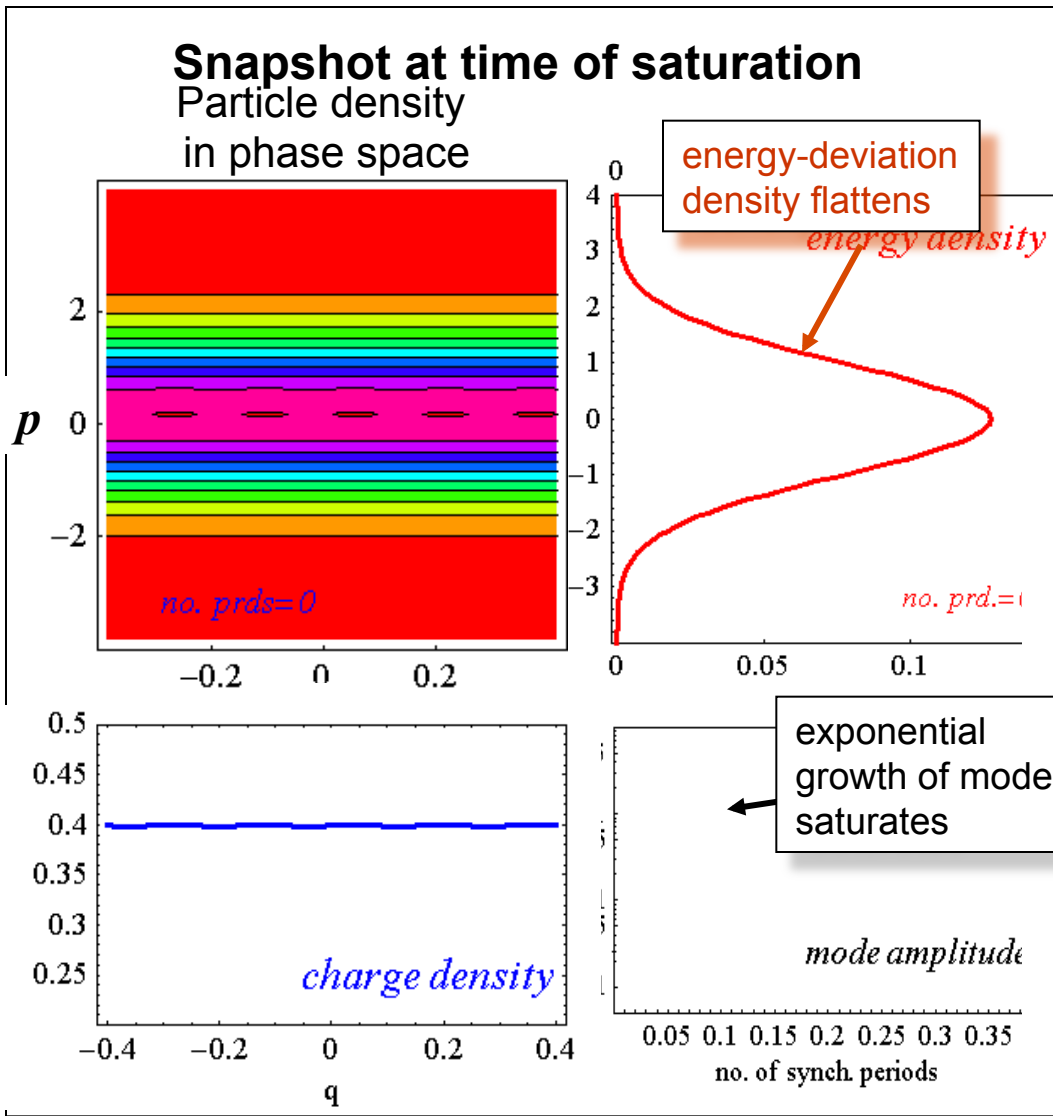


CSR MBI (i.e. radiation impedance) could be responsible for most of the longitudinal single bunch effects in a storage ring.

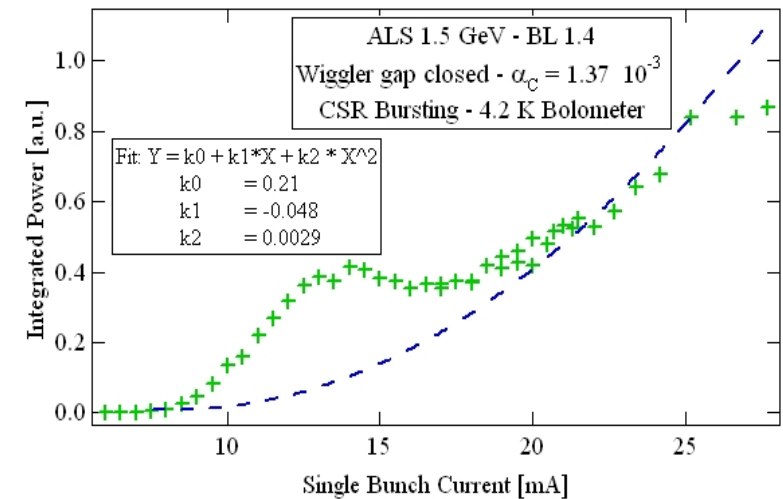
Above threshold, peak current adjusts to stay at threshold.

$$\frac{\hat{I} |Z/n|}{2\pi\alpha\sigma_\delta^2 (E/e)} \leq 1$$

# Saturation model



## ALS measurements (Jan 2005)



## Simple model of saturation

